



## **ERIE COUNTY**

# **REQUEST FOR PROPOSAL (RFP) TO PROVIDE KINSHIP AND SPECIALIZED POPULATIONS SERVICES**

**RFP # 2023-005VF**

**Erie County Department of Social Services**

**EDWARD A. RATH COUNTY OFFICE BUILDING  
95 FRANKLIN STREET  
BUFFALO, NEW YORK 14202**

**COUNTY OF ERIE, NEW YORK**  
**REQUEST FOR PROPOSALS (“RFP”) # 2023-005VF**  
**TO PROVIDE KINSHIP AND SPECIALIZED POPULATIONS SERVICES**

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## **I. INTRODUCTION**

The County of Erie, New York (the “County”) is currently seeking proposals from qualified agencies (“Proposer”) interested in providing preventive services for Kinship and Specialized Populations. Proposers interested in providing this service are invited to respond to this request.

It is the County's intent to select the Proposer(s) that provides the best solution for the County's needs. Erie County Department of Social Services (ECDSS) is a trauma-informed organization and believes in the power of its principles when serving the citizens of Erie County. Erie County is committed to racial equity.

The County reserves the right to amend this RFP, reject any or all of the proposals, or any part thereof, submitted in response to this RFP, and reserves the right to waive any irregularities or informalities, if such action is deemed to be in the best interest of the County. The County reserves the right to request additional information from any Proposer, and to award negotiated contracts to one or more Proposers.

This RFP is not intended and shall not be construed to commit the County to pay any costs incurred in connection with any proposal or to procure or contract with any firm.

The County will only contract with firms that do not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability, marital status, sexual orientation, citizenship status or any other status protected by New York State and Federal laws.

## II. FUNDING AND BUDGET

A total of \$441,228 is potentially available for the requested preventive services for Kinship and Specialized Populations for 2024.

The award is subject to annual contract renewal, contingent upon the Proposer's successful performance of project objectives and the continued need and desire for such services as articulated by Erie County DSS. Initial award and renewals are subject to inclusion of funding in the County Executive Recommended Budget and as adopted by the Erie County Legislature, as well as, contingent upon availability of New York State funds appropriated for this purpose. All contract appropriations are subject to Legislative approval.

Future awards will be dependent on available funds and subject to the demonstrated fiscal and programmatic stability of the applicant agency, as well as their meeting all of the Erie County Department of Social Services (ECDSS) requirements. More than one provider may be selected for funding for 2024.

## III. PROPOSAL TIMEFRAMES

The following schedule is for informational purposes only. The County reserves the right to amend this schedule at any time.

Issue RFP:	February 22, 2023
RFP Informational Meeting:	March 8, 2023 at 9:00 a.m. via Webex

**Join from the meeting link**

<https://erie.webex.com/erie/j.php?MTID=md9c5a58c56df3ae4874737191f7e4530>

**Join by meeting number**

Meeting number (access code): 2480 789 3703

Meeting password: gpJ8igx86GD

**Tap to join from a mobile device (attendees only)**

+1716-858-2250,,24807893703## United States Toll (Buffalo)

+1-415-655-0003,,24807893703## United States Toll

**Join by phone**

+1 716-858-2250 United States Toll (Buffalo)

+1-415-655-0003 United States Toll

[Global call-in numbers](#)

**Join from a video system or application**

Dial [24807893703@webex.com](mailto:24807893703@webex.com)

You can also dial 173.243.2.68 and enter your meeting number.

Proposals Due:	March 22, 2023
Selection Made by:	June 2023
Contract Signed:	Following all necessary County approvals.

## IV. GENERAL REQUIREMENTS

1. Each proposal shall be prepared simply and economically avoiding the use of elaborate promotional materials beyond what is sufficient to provide a complete, accurate and reliable presentation.
2. Your proposal must be comprised of 3 sections:

### Appendix A

- Proposal to Provide Service
- Signed Schedule A
- For agencies not currently contracted with ECDSS to provide the requested service: References and data from similar work

### Appendix B

- Signed Fiscal Form
- Budget Forms

### Appendix C

- Most recent Audit report prepared by an independent CPA
- Most recent Management Letter
- Listing of Officers and Board of Directors

3. Proposals MUST be signed using the attached Schedule A: Proposer Certification. Unsigned proposals will be rejected.
4. Submission of the proposals shall be uploaded as three separate documents (Appendix A, B, and C) to: [ECDSS RFP submission form](#).
5. If unable to upload the proposal, a printed submission may be submitted to: Erie County Department of Social Services, Attn: Carrie Godfrey, 95 Franklin Street Room 804, Buffalo, NY 14202.  
All proposals must be submitted on or before March 22, 2023 at 4:00 p.m. Proposals received after the above date and time will not be considered. The County is under no obligation to return proposals.
6. Requests for clarification of this RFP must be written and submitted to Carrie Godfrey at the above address, or at Carrie.Godfrey@erie.gov no later than 4:00 pm on March 3, 2023. A list of questions and answers will be posted on the County website by March 10, 2023. No communications of any kind will be binding against the county, except for the formal written responses to any request for clarification.
7. Proposers may be required to give an oral presentation to the County to clarify or elaborate on the written proposal. Those Proposers will be notified to arrange specific times.
8. No proposal will be accepted from nor any agreement awarded to any Proposer that is in arrears upon any debt or in default of any obligation owed to the County. Additionally, no agreement will be awarded to any Proposer that has failed to satisfactorily perform pursuant to any prior agreement with the County.
9. Information on the Standard Insurance Provisions required of agencies selected as a contractor of this service is included in this RFP. This document is for informational purposes only, and is not to be submitted by the Proposer for the purposes of this RFP.
10. All potential contract-holders with Erie County shall agree to comply with Executive Order 13 (2014), and the Agency shall make such records available, upon request, to the County's Division of Equal Employment Opportunity for review. (A copy of Executive Order 13 is available

here: <http://www2.erie.gov/exec/index.php?q=executive-order-013>). All contract holders will be required to sign the Erie County Equal Pay Certification (attached). The County shall have the right, upon reasonable notice and at reasonable times, to inspect the books and records of the Agency, its offices and facilities, for the purpose of verifying information supplied in the Erie County Equal Pay Certification and for any other purpose reasonably related to confirming the Agency's compliance with Erie County Executive Order No. 13 (2014). Violation of the provisions of Executive Order 13 (2014), which is attached hereto and made a part hereto and made a part hereof, can constitute grounds for the immediate termination of a contract, and may constitute grounds for determining that a bidder is not qualified to participate in future county contracts.

11. All potential contract-holders with Erie County shall agree that administrative costs may not exceed 15% of the requested funds.
12. Certified Minority Business Enterprise/ Women's Business Enterprise (MBE/WBE) proposers shall include the Erie County MBE/WBE Certification letter with their proposal.
13. Proposers who operate a Veteran-Owned Business shall include the letter indicating their company is 51% or more veteran-owned with their proposal.
14. All proposers must disclose the name, title, and department of any employee or officer who is or was an employee or officer of Erie County within the 12 months immediately prior to the proposal.
15. If requested, proposers must provide a list of at least three references from community partners and collaborators or an individual with knowledge of and experience with the specific services being offered.
16. All proposers must provide a list of all prime contractors and subcontractors that their agency does business with related to the service in this RFP.
17. All proposers must include the name of their Language Access Coordinator. A copy of your Language Access Policy is required at contracting.
18. All proposers must include the name of their Americans with Disabilities Act (ADA) Coordinator. A copy of a written ADA policy is required at contracting.

## **V. SCOPE OF PROFESSIONAL SERVICES REQUIRED**

### **INTRODUCTION**

The purpose of this document is to provide interested parties an opportunity to prepare and submit a proposal to provide Preventive Services for Kinship and Specialized Populations in Erie County. The services are to systemically address strengths, protective factors, and needs of the child(ren) and family to ensure a safe, healthy, permanent home for children. Program services should be designed based on the unique needs of families, but must include case management and referral, support groups, education, family engagement and maintenance, and family preservation. The expected implementation date for these services is January 1, 2023.

Preventive services are to delivered in accordance with New York State Regulations ([18 NYCRR 423](#)) and must be evidence and outcome-based services, producing measurable, positive outcomes for children, families, and communities. Resources of evidence and outcome-based services can be found at [OCFS Effective Practices](#) and [California Evidence-Based Clearinghouse for Child Welfare](#).

Proposers may apply for one or both deliverables as listed.

1. **Preventive Kinship Services** are designed to support and strengthen families, promote permanency and the well-being of children. Services are to strengthen the family's ability to function more effectively and independently in order to prevent family breakup and to reunite as soon as possible if a breakup is necessary. These services are supportive and rehabilitative in nature.

The philosophy guiding preventive services requires a community-based, family-focused, child-centered approach to service delivery. The foundation is the belief that the family is, and should continue to be, the central structure around which a caring and self-sufficient society must be built. The family is the best environment for raising children and caring for vulnerable members. Accordingly, the family must be able to provide the necessary nurture, protection, shelter, and education for its members.

For these services, a family means an adult(s) and children under age 18 related by blood, marriage, adoption, or an expression of kinship that function as a family unit. Agencies must work in conjunction with parents, relatives and children to maintain positive family attachments. The focus is to empower the caregivers with the skills and means to provide children with a long-term nurturing home.

When an out-of-home placement for a child is needed, Erie County has prioritized the placement of that child into relative care, whenever appropriate and available. Custody is granted by Family Court under either temporary 1017 custody or Article 6 custody. The growth in relative placements has necessitated consideration of the critical issues which can arise for caregivers and the supports and supervision which are required to maintain these placements. The majority of intake comes from Child Protection Services (CPS), who become involved with a parent in neglect and abuse allegation situations.

2. **Specialized Populations** include providing culturally responsive Traditional Preventive Services to eligible families, individuals, and children from other countries who settle in Erie County with specialized needs, due to the circumstances of their immigration to this country. They may face cultural and custom challenges, language barriers, housing, employment, legal, and financial difficulties. Family preservation and client self-sufficiency are objectives of services sought by the County for the refugee and immigrant populations. Assessments and

case planning are required to ensure clients receive appropriate services, aiding in a successful transition to our community.

## **HISTORY AND CONTEXT**

Preventive service programs must be in alignment with the Family First Prevention Services Act (FFPSA). See [OCFS Family First Readiness](#).

## **SCOPE OF WORK**

### Preventive Kinship Services and Specialized Populations

Preventive services will be offered to families through referral by ECDSS only; referrals cannot be accepted by outside agencies or the community as a whole. Direct contact with a referred family shall be made within seven days of acceptance of case. An immediate assessment of the family's needs is to be completed as well as required FASP'S (Family Assessment and Service Plans) and progress notes.

Program components include:

- Case planning
- Casework contacts
- Day care services
- Homemaker services
- Housekeeper/chore services
- Family planning services
- Home management services
- Clinical services
- Parent aide services
- Day services to children
- Parent training
- Transportation services
- Emergency cash or goods
- Emergency shelter
- Preventive housing services
- Outreach activities
- Home-based family preservation services
- Crisis respite care and services for families



The following table outlines the responsibilities of ECDSS Case Manager, Case Planner, and Associated Caseworker:

<b>Contract Task / Responsibility</b>	<b>ECDSS Case Manager</b>	<b>Agency with Case Planning Responsibility</b>	<b>Agency of Associated Case Worker</b>
Completion of family services intake (FSI)	Yes	No	No
Completion of CPS safety and risk assessment-initial FASP	Yes	No	No
Completion of CPS safety and risk assessment-Comprehensive/Reassessment FASPs	No	Yes	No
Ensure that health and education information is verified and maintained in case record, either in the CONNECTIONS Modules or progress notes	No	Yes	No
Convene and hold service plan review conference	No	Yes	No
Identification of third party reviewer for SPR	No	Yes	No
Number of days for Agency to accept/reject initial referral of family:5	N/A	Yes	Yes
Seek clarification when notified another FASP is pending	Yes	Yes	Yes
The FASP must be submitted to the DSS case manager no later than ten (10) days (for Comprehensive assessment) or twenty (20) days (for re-assessment), prior to the due date as specified in 18 NYCRR Part 428	N/A	Yes	N/A
Associated Caseworkers will need to complete their portion of the FASP to the Case Planner at least fifteen (15) days (for comprehensive assessment) or twenty-five (25) days (for re-assessment) in advance of the due date based on the CID date.	No	No	Yes
Launch FASP 60 days prior to the due date based on the CID (Case Initiation Date) date.	No	Yes	No
Submit PH Report to ECDSS Case Manager 60 Days in advance of the "Date Certain."	No	Yes	No

Casework contacts shall be made by contract agency providing preventive services as outlined below. Required contacts must be made with both the bio-family/original caretakers and the custodian with the child.

<b>Type of Service</b>	<b>Total Face to Face Contacts Required</b>	<b>In- Home Contacts</b>
Preventive Services	12 contacts every 6 months (4 must be individual, 8 may be group)	2 every 6 months
Preventive Services & CPS where CPS maintains case planning responsibility)	2 contacts per month	1 per month
Preventive Services & Foster Care	3 contacts per month with the family (1 for foster care, 2 for preventive)	2 every 6 months for preventive;
Preventive Services Foster Care & CPS	3 contacts per month with family (1 for foster care, 2 for preventive CPS)	1 per month
Preventive Services & Aftercare (for first 3 months following discharge)	3 contacts per month with family (1 for foster care, 2 for preventive)	1 within first 3 months claimed as foster care, 1 within first 3 months claimed as preventive

## 1. Preventive Kinship Services

- Referrals must be accepted Monday through Friday from 9am to 5pm. An after-hours contact must also be provided for emergency situations.
- Face-to-face contact with the referred family must be made by the caseworker within two (2) days of accepting a referral.
- An immediate assessment of the family's needs, including concrete needs such as food, clothing, and shelter must be made by the caseworker. Each caseworker must provide to ECDSS a Family Assessment and Service Plan (FASP), including an assessment of the family and plan for service delivery, as per NYS law and regulation. All FASPs, progress notes, and other required paperwork must be entered into the CONNECTIONS computer system (the New York State Child Welfare System of record) in a manner consistent with Federal and State laws and regulations ([18 NYCRR 428](#)).
- Kinship preventive services help the child's caretaker secure financial aid for the child, as well as Medicaid to meet the child's medical needs, and day care if needed. Caseworkers make home visits to assess other needs, such as counseling for the children and parents.
- Kinship preventive services are provided to address issues to lead to positive outcomes. The key elements of a relative/kinship care service model include:
  - Case management and case work services
  - Family decision making principles
  - Living conditions
  - Financial considerations
  - Maintaining family connections
  - Caregiver assessment and training
  - Caregiver support and supervision
  - Access to wrap- around support services; and
  - Support and advocacy to caregivers and children in a fluid family dynamic
  - Kinship service activities are aimed at diverting children from the formal foster care system. They include:
    - Assessing safety, stability, and permanence for diverted children and their families
    - Developing and implementing service plans that ensure the safety and well-being of children
    - Establishing and supporting long-term and appropriate permanency goals, by respectfully engaging both the birth parent(s) and relative caregiver(s).
    - Offering services which support the family emotionally, socially, functionally, medically, and financially
    - Protecting birth parent rights and safely facilitating reunification whenever possible
    - Providing families with comprehensive information about available state-supported options to support the care of the child(ren)
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  - Offering services which support the family emotionally, socially, functionally, medically, and financially
  - Protecting birth parent rights and safely facilitating reunification whenever possible
  - Providing families with comprehensive information about available state-supported options to support the care of the child(ren)
- Strategies to be employed by the Kinship Preventive Services Provider(s):
  - Applying appropriate risk- assessment tools that establish high and low risk categories to determine the appropriateness of diversion as opposed to other alternatives
  - Assessing the needs of the kinship triad (birth parent(s), child(ren), and kinship caregiver(s)) to determine how best to support kinship homes
  - Making reasonable efforts to safely reunify the child(ren) with the birth parent(s)
  - Facilitating team decision-making and full disclosure of care and resource options

- Providing “Kinship” mentor services to assist families with understanding their options while navigating the system of care
- Utilizing Family Group Counseling Services through ECDSS to engage the extended family and care providers in family-focused and individualized goal planning

## 2. Specialized Populations

- Respond to the specialized segment of Erie County refugee/immigrant families that are in need of preventive services, taking into account customs and beliefs that may be contrary to American expectations of parenting.
- Goals to providing these services include: reduced incidences of child abuse and neglect for referred refugee/immigrant families; prevention of placement in foster care; insuring the timely, successful community reintegration of children returning to the community from out of home care so that a return to foster care is unlikely; and safely maintaining children and youth in their own homes, or the homes of relatives, who have been deemed at-risk of placement by local child welfare staff.
- Services provided or referred for shall include, but not limited to:
  - Interpretation services
  - Youth programs (after-school programs, advocacy with school-family)
  - Health services
  - Domestic violence prevention and advocacy
  - Family literacy tutoring programs
  - Strengthening families programs, including educating in early childhood learning, parent education, and child development
  - Trauma, PTSD, and adjustment counseling, and other mental health services
  - Substance abuse treatment
  - Outreach and community education
  - Court advocacy and legal services
  - Women’s programs including, financial literacy, fire and home safety, home economics, family and domestic violence prevention, cultural education and adaptation

## **GENERAL REQUIREMENTS:**

### Preventive Kinship Services and Specialized Populations

- Use culturally-sensitive, trauma-informed practices when working with DSS clients.
- Provide culturally appropriate services to individuals with special needs; i.e. disabilities, language and cultural barriers, etc., including language translation services, which can be included in budgeted direct operating costs.
- Manage and retain a skilled and appropriately educated workforce.
- Maintain regular communication with ECDSS in a timely manner.
- Adhere to documentation standards as set forth by Federal and State regulation, as well as ECDSS policies.
- Provide evidence-based intervention.
- Provide staff training and internal quality assurance audits on a regular basis.
- Manage funds from a government funding source and maintain billing systems.
- Comply with all requirements of CONNECTIONS according to statutory guidelines and regulatory requirements. All workers assigned to an individual case shall complete components within the single electronic case record and the case planner will coordinate the completion of the plan which is then submitted to the case manager for approval. All progress notes and required FASPs (Family Assessment and Service Plans) shall be completed in CONNECTIONS, within the prescribed time frames, consistent with 18 NYCRR Part 428.
- Provide access to a personal computer for each caseworker that includes a high speed connection to the internet for access to the CONNECTIONS system. CONNECTIONS training will be offered by ECDSS and the Office of Child and Family Services.
- Submit required data and abide by designated documentation regulations in a timely manner, as instructed, by County in order to claim reimbursement for services

## COMPENSATION

- Unit of service: hour
- Funding source: Title XX Preventive & Protective Services
- Reimbursement method: fee for service (BICS)

## VENDOR EXPERIENCE AND QUALIFICATIONS

### Preventive Kinship Services and Specialized Populations

- Provide a Solution Focused Trauma Informed Care environment incorporating the five principles of collaboration, safety, trustworthiness, empowerment, and choice throughout services to promote resilience and healing.
- Ensure policies, practices, systems, and structures improve outcomes for everyone, prioritizing measurable change in the lives of people of color to eliminate racial disparities and promote racial equity.
- Provide evidence-based intervention.
- Demonstrate knowledge, experience and understanding of the needs, risks, challenges and opportunities faced by the target population, as well as demonstrate experience in effectively implementing programs that promote positive client outcomes.
- Casework positions must require a Bachelor's degree in the Human Service field. Supervisory positions must require a Bachelor's degree and 2 years of experience in Child Welfare or Human Services.
- Caseworkers, case aides and supervisors assigned to the project must be cleared through the State Central Registry prior to being permitted to work with families face to face without supervision. Proposing agencies should provide additional details of pre-employment screenings conducted by the agency. The County encourages agencies to require criminal background checks as well as DMV background checks for each employee associated with offering preventive services.
- The staffing pattern and qualification standards are expected to be maintained throughout the term of the contract, and the applicant agency is expected to provide quarterly staffing reports. Any changes which occur before the contract begins (after submission and approval) are to be communicated within 30 days of the start of the contract to ECDSS.

#### 1. Preventive Kinship Services

- Familiarity with kinship care and the services available in the community to support kin caring for their relatives.

#### 2. Specialized Populations

- Experience working with challenges exhibited by this specialized population and have effective strategies for bringing resources to needs.
- Experience working with agencies that assist refugees and immigrants, such as Bureau of Refugee and Immigrant Assistance (BRIA), OTDA Office of Refugee and Immigrant Services, New York State Department of Health Refugee Medical Assistance (RMA) Health Assessment Program
- US Department of Health and Human Services, Administration for Children and Families, Office of Refugee Resettlement, Refugee Social Services Program

## PERFORMANCE MEASURES AND DATA COLLECTION

### Preventive Kinship Services and Specialized Populations

- Data and benchmarks to be tracked by DSS:
  - Timely intervention with family and regular and timely communication with referring worker.
  - Prevention of out of home placement
  - Safety and improved family functioning
- Data and benchmarks to be tracked by vendor
  - % of families who had face to face contact within two business days of referral
  - % of FASPs submitted within 25 days of due date
  - Average number of referrals per family

- # of youth served per family and total
- Length of stay (LOS) per case
- % of cases where youth remained with kin at close of case
- % of cases youth were returned to parent at close of case
- % of cases youth entered foster care at close of case

### Specialized Populations

- Data and benchmarks to be tracked by vendor:
  - Agency demonstrates cultural competence in working with the refugee and immigrant population; there is a demonstrated set of congruent behaviors, attitudes, policies, and structures that come together as a system to enable the staff to work effectively in cross-cultural situations. Cultural competence refers to an ability to interact effectively with people of different cultures. Cultural competence comprises four components: (a) Awareness of one's own cultural worldview, (b) Attitude towards cultural differences, (c) Knowledge of different cultural practices and worldviews, and (d) Cross-cultural skills. Developing cultural competence results in an ability to understand, communicate with, and effectively interact with people across cultures.

## **VI. STATEMENT OF RIGHTS**

### **UNDERSTANDINGS**

**Please take notice**, by submission of a proposal in response to this request for proposals, the Proposer agrees to and understands:

- that any proposal, attachments, additional information, etc. submitted pursuant to this Request for Proposals constitute merely a suggestion to negotiate with the County and is not a bid under Section 103 of the New York State General Municipal Law;
- submission of a proposal, attachments, and additional information shall not entitle the Proposer to enter into an agreement with the County for the required services;
- by submitting a proposal, the Proposer agrees and understands that the County is not obligated to respond to the proposal, nor is it legally bound in any manner whatsoever by submission of same;
- that any and all counter-proposals, negotiations or any communications received by a proposing entity, its officers, employees or agents from the County, its elected officials, officers, employees or agents, shall not be binding against the County, its elected officials, officers, employees or agents unless and until a formal written agreement for the services sought by this RFP is duly executed by both parties and approved by the Erie County Legislature and the Office of the Erie County Attorney.

In addition to the foregoing, by submitting a proposal, the Proposer also understands and agrees that the County reserves the right, and may at its sole discretion, to exercise the following rights and options with respect to this Request for Proposals:

- To reject any or all proposals;
- To issue amendments to this RFP;
- To issue additional solicitations for proposals;
- To waive any irregularities or informalities in proposals received after notification to Proposers affected;
- To select any proposal as the basis for negotiations of a contract, and to negotiate with one or more of the Proposers for amendments or other modifications to their proposals;
- To conduct investigations with respect to the qualifications of each Proposer;
- To exercise its discretion and apply its judgment with respect to any aspect of this RFP, the evaluation of proposals, and the negotiations and award of any contract;
- To enter into an agreement for only portions (or not to enter into an agreement for any) of the services contemplated by the proposals with one or more of the Proposers;
- To select the proposal that best satisfies the interests of the County and not necessarily on the basis of price or any other single factor;

- To interview the Proposer(s);
- To request or obtain additional information the County deems necessary to determine the ability of the Proposer;
- To modify dates;
- All proposals prepared in response to this RFP are at the sole expense of the Proposer, and with the express understanding that there will be no claim, whatsoever, for reimbursement from the County for the expenses of preparation. The County assumes no responsibility or liability of any kind for costs incurred in the preparation or submission of any proposal;
- While this is an RFP and not a bid, the County reserves the right to apply the case law under General Municipal Law § 103 regarding bidder responsibility in determining whether a Proposer is a responsible vendor for the purpose of this RFP process;
- The County is not responsible for any internal or external delivery delays, which may cause any proposal to arrive beyond the stated deadline. To be considered, proposals MUST arrive at the place specified herein and be time-stamped before the deadline.

## **EVALUATION**

The following criteria, not necessarily listed in order of importance, will be used to review the proposals. The County reserves the right to weigh its evaluation criteria in any manner it deems appropriate:

- A determination that the Proposer has submitted a complete and responsive proposal as required by this RFP.
- Proposers MUST sign the Proposal Certification attached hereto as Schedule "A". Unsigned proposals will be rejected.
- The Proposer's demonstrated capability to provide the services.
- Evaluation of the professional qualifications and experience of program staff.
- The Proposer's experience in performing the proposed services.
- The Proposer's financial ability to provide the services.
- Evaluation of the Proposer's fee submission. It should be noted that while price is not the only consideration, it is an important one.
- An evaluation of the Proposer's projected approach and plans to meet the requirements of this RFP.
- Proposers may be required to give an oral presentation to the County to clarify or elaborate on the written proposal. Any information shared by the Proposer's presentation will be considered while scoring.
- No proposal will be accepted from nor any agreement awarded to any Proposer that is in arrears upon any debt or in default of any obligation owed to the County. Additionally, no agreement will be awarded to any Proposer that has failed to satisfactorily perform pursuant to any prior agreement with the County.

## **EVALUATION PROCESS**

Each proposal will undergo an initial administrative review for completeness. In order for a proposal to be evaluated, it must include all required documents. Upon completion of the administrative review, and at the sole discretion of the Commissioner, the Department will request any missing documentation from the Proposer, and will review all documents for completeness upon receipt of the missing documents. All required documents for a complete proposal, as set forth in this RFP, must be submitted and be completed to the satisfaction of the Department within forty-eight (48) hours of request in order for the proposal to be deemed responsive and eligible for Contract award.

Complete proposals will be judged by a scoring committee. The scoring committee will consist of Department of Social Services employees and experienced individuals from outside the Department. The

proposal should be written so as to clearly articulate the services provided to someone not familiar with service delivery.

The proposals will be scored based on the overall proposal, population and goals, program plan, performance measurement, trauma informed, program staffing, accessibility plans, infrastructure, collaboration, experience, MWBE utilization, compliance with RFP requirements and fiscal components.

## **CONTRACT**

After selection of the successful Proposer, a formal written contract will be prepared by the County and will not be binding until signed by both parties and, if necessary, approved by the Erie County Legislature, the Erie County Fiscal Stability Authority and the Office of the County Attorney. NO RIGHTS SHALL ACCRUE TO ANY PROPOSER BY THE FACT THAT A PROPOSAL HAS BEEN SELECTED BY THE COUNTY FOR SUBMISSION TO THE ERIE COUNTY LEGISLATURE AND/OR IF NECESSARY THE ERIE COUNTY FISCAL STABILITY AUTHORITY FOR APPROVAL. THE APPROVAL OF SAID LEGISLATURE AND/OR AUTHORITY MAY BE NECESSARY BEFORE A VALID AND BINDING CONTRACT MAY BE EXECUTED BY THE COUNTY.

The contract will include the submitted proposal and any subsequent agreement with the Department to service provision. The award period will be for a one-year term, with the option to renew for additional terms, subject to annual contract renewal, contingent upon the Proposer's successful implementation of the program, data collection, monitoring, goal attainment, and compliance with required reporting. Initial award and renewals are subject to inclusion of funding in the County Executive Recommended Budget and as adopted by the Erie County Legislature, as well as, contingent upon availability of New York State funds appropriated for this purpose.

## **INDEMNIFICATION AND INSURANCE**

The Proposer accepts and agrees that language in substantially the following form will be included in the contract between the Proposer and the County:

"In addition to, and not in limitation of the insurance requirements contained herein the Proposer agrees:

- (a) that except for the amount, if any, of damage contributed to, caused by or resulting from the negligence of the County, the Proposer shall indemnify and hold harmless the County, its officers, employees and agents from and against any and all liability, damage, claims, demands, costs, judgments, fees, attorneys' fees or loss arising directly or indirectly out of the acts or omissions hereunder by the Proposer or third parties under the direction or control of the Proposer; and
- (b) to provide defense for and defend, at its sole expense, any and all claims, demands or causes of action directly or indirectly arising out of this Agreement and to bear all other costs and expenses related thereto.

Upon execution of any contract between the Proposer and the County, the Proposer will be required to provide proof of the applicable insurance coverage.

Insurance coverage in amount and form shall not be deemed acceptable until approved by the County Attorney.

## **INTELLECTUAL PROPERTY RIGHTS**

The Proposer accepts and agrees that language in substantially the following form will be included in the contract between the Proposer and the County:

All deliverables created under this Agreement by the Proposer are to be considered "works made for hire". If any of the deliverables do not qualify as "works made for hire", the Proposer hereby assigns to the County all right, title and interest (including ownership of copyright) in

such deliverables and such assignment allows the County to obtain in its name copyrights, registrations and similar protections which may be available. The Proposer agrees to assist the County, if required, in perfecting these rights. The Proposer shall provide the County with at least one copy of each deliverable.

The Proposer agrees to defend, indemnify, and hold harmless the County for all damages, liabilities, losses and expenses arising out of any claim that a deliverable infringes upon an intellectual property right of a third party. If such a claim is made, or appears likely to be made, the Proposer agrees to enable the County's continued use of the deliverable, or to modify or replace it. If the County determines that none of these alternatives is reasonably available, the deliverable will be returned.

All records compiled by the Proposer in completing the work described in this Agreement, including but not limited to written reports, source codes, studies, drawings, blueprints, negatives of photographs, computer printouts, graphs, charts, plans, specifications and all other similar recorded data, shall become and remain the property of the County. The Proposer may retain copies of such records for its own use.

NOTE: All contracts executed by the Erie County Department of Social Services will be posted electronically on the Department's website.

## **NON-COLLUSION**

The Proposer, by signing the proposal, does hereby warrant and represent that any ensuing agreement has not been solicited, secured or prepared directly or indirectly, in a manner contrary to the laws of the State of New York and the County of Erie, and that said laws have not been violated and shall not be violated as they relate to the procurement or the performance of the agreement by any conduct, including the paying or the giving of any fee, commission, compensation, gift, gratuity or consideration of any kind, directly or indirectly, to any County employee, officer or official.

## **CONFLICT OF INTEREST**

All Proposers must disclose with their proposals the name of any officer, director or agent who is also an employee of the County. Further, all Proposers must disclose the name of any County employee who owns, directly or indirectly, an interest of ten percent (10%) or more in the firm or any of its subsidiaries or affiliates.

There shall be no conflicts in existence during the term of any contract with the County. The existence of a conflict shall be grounds for termination of a contract.

## **COMPLIANCE WITH LAWS**

By submitting a proposal, the Proposer represents and warrants that it is familiar with all federal, state and local laws and regulations and will conform to said laws and regulations. The preparation of proposals, selection of Proposers and the award of contracts are subject to provisions of all Federal, State and County laws, rules and regulations.

## **CONTENTS OF PROPOSAL**

The New York State Freedom of Information Law as set forth in Public Officers Law, Article 6, Sections 84 et seq., mandates public access to government records. However, proposals submitted in response to this RFP may contain technical, financial background or other data, public disclosure of which could cause substantial injury to the Proposer's competitive position or constitute a trade secret. Proposers who have a good faith belief that information submitted in their proposals is protected from disclosure under the New York Freedom of Information Law shall: a) insert the following notice in the front of its proposal:



## **“NOTICE**

**The data on pages \_\_\_ of this proposal identified by an asterisk (\*) contains technical or financial information constituting trade secrets or information the disclosure of which would result in substantial injury to the Proposer’s competitive position.**

**The Proposer requests that such information be used only for the evaluation of the proposal, but understands that any disclosure will be limited to the extent that the County considers proper under the law. If the County enters into an agreement with this Proposer, the County shall have the right to use or disclose such information as provided in the agreement, unless otherwise obligated by law.”**

## **and**

b) clearly identify the pages of the proposals containing such information by typing in bold face on the top of each page " **\* THE PROPOSER BELIEVES THAT THIS INFORMATION IS PROTECTED FROM DISCLOSURE UNDER THE STATE FREEDOM OF INFORMATION LAW.**"

The County assumes no liability for disclosure of information so identified, provided that the County has made a good faith legal determination that the information is not protected from disclosure under applicable law or where disclosure is required to comply with an order or judgment of a court of competent jurisdiction.

The contents of the proposal which is accepted by the County, except portions "Protected from Disclosure", may become part of any agreement resulting from this RFP.

## **EFFECTIVE PERIOD OF PROPOSALS**

All proposals must state the period for which the proposal shall remain in effect (i.e. how much time does the County have to accept or reject the proposal under the terms proposed). Such period shall not be less than one hundred eighty (180) days from the proposal date.

(For Informational Purposes Only)

## ERIE COUNTY EQUAL PAY CERTIFICATION

In order to comply with Executive Order 13 dated November 6, 2014, we hereby certify that we are in compliance with federal law, including the Equal Pay Act of 1963, Title VII of the Civil Rights Act of 1964, Federal Executive Order 11246 of September 24, 1965 and New York State Labor Law Section 194 (together "Equal Pay Law"). We understand that this certification is a material component of this contract. Violation of the provisions of Executive Order 13, which is attached hereto and made a part hereof, can constitute grounds for the immediate termination of this contract and may constitute grounds for determining that a bidder is not qualified to participate in future county contracts.

We have evaluated wages and benefits to ensure compliance with the Equal Pay Law. We certify that we have not been the subject of an adverse finding under the Equal Pay Law within the previous five years and, in the alternative, if we were the subject of an adverse finding under the Equal Pay Law within the previous five years, we have annexed a detailed description of the finding(s). In addition, we have annexed a detailed description of any currently pending claims under the Equal Pay Law in which we are involved.

\_\_\_\_\_  
Contractor Signature

### Verification

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) SS:

A) \_\_\_\_\_, being duly sworn, states he or she is the owner of (or a partner in) \_\_\_\_\_, and is making the foregoing Certification and that the statements and representations made in the Certification are true to his or her own knowledge.

### OR

B) \_\_\_\_\_, being duly sworn, states that he or she is the \_\_\_\_\_, of \_\_\_\_\_, the enterprise making the foregoing Certification, that he or she has read the Certification and knows its contents, that the statements and representations made in the Certification are true to his or her own knowledge, and that the Certification is made at the direction of the Board of Directors of the Corporation.

Sworn to before me this \_\_\_\_\_  
day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Notary Public

Notary Stamp

## GUIDELINES FOR STANDARD INSURANCE PROVISIONS REQUIRED

Commercial General Liability	\$1,000,000 minimum each occurrence
Umbrella/ Excess	\$1,000,000 minimum each occurrence
<b>* If CGL general aggregate is \$2,000,000 or more, Umbrella/ Excess is not necessary</b>	
Automobile	\$1,000,000 minimum combined single limit
<b>* If transporting; otherwise a Waiver must be executed</b>	
Professional Liability	\$5,000,000 minimum
<b>* Not needed for all contracts</b>	
Workers' Compensation	NYS Certificate

Certificate holder must be listed as:

County of Erie or Erie County 95  
Franklin Street  
Buffalo, NY 14202

It can include "Department of Law"

It **cannot** include "Department of Social Services" or any other department of Erie County (i.e. Department of Health, Youth Bureau, etc.)

The County must also be listed as an Additional Insured for all the above policies. That can be done by placing a "Y" or "X" in the "ADDL INSR" column next to each policy, or it can be specifically noted in the "Description" box near the bottom of the page.

## **PROPOSAL REQUIREMENTS**

In order for Proposers to be considered for an award, the terms, conditions and instructions contained in this RFP and attachments must be met. Any proposals which do not meet these criteria may be considered non-responsive. Currently funded programs must re-apply in order to be considered for continued funding.

Your proposal must be comprised of 3 sections, presented as separate documents:

- Appendix A
  - Proposal to Provide Service
  - Signed Schedule A
  - For agencies not currently contracted with ECDSS to provide the requested service: References and data from similar work\*
  
- Appendix B
  - Signed Fiscal Form
  - Budget Forms
  
- Appendix C
  - Most recent Audit report prepared by an independent CPA<sup>1</sup>
  - Most recent Management Letter
  - Listing of Officers and Board of Directors

An electronic version of Appendix A is available on the Erie County Department of Social Services (ECDSS) website: [ECDSS RFP Appendix A](#)

An electronic version of Appendix B is available on the ECDSS website: [ECDSS RFP Appendix B](#)

*Please note: Indirect Administrative Costs must be itemized or a copy of your Federal Indirect Cost Rate must be attached.*

By application, you certify that your agency can provide the following documentation at any time during the course of the selection process: (You do not need to provide it now, only if asked.)

- Proof of 501(c)(3) status, if applicable.
- Agency's most recent organizational chart and a letter of support signed by the CEO and the Board President.
- Resumes for all program staff (associated with the proposed service), including administrators, program supervisors, direct service staff and aides.
- References or letters of testimony from other agencies for whom you have provided this or a similar service, with contact information.

**\* For agencies that are currently contracted with ECDSS to provide the service**, annual performance reviews will be considered in the review. Please **do not** include copies of supporting research, annual reports, exhibits, letters of support, attachments and other supporting material with your proposal, unless changing the service model. ECDSS reserves the right to disqualify proposals that do not adhere to the correct format.

**For agencies that are not currently contracted with ECDSS to provide the service**, please submit references and data from similar work demonstrating the agency's ability to:

- review outcomes and meet performance measures

---

<sup>1</sup> If not available, the latest tax return may be submitted in lieu of the audit report and/or management letter.

- maintain adequate staffing levels with trained staff
- meet required timeframes
- demonstrate leadership and proactive involvement in planning procedures
- communicate within the agency and with ECDSS
- understand laws and meet regulatory expectations

Applications are expected to comply with stated guidelines including but not limited to desired program outcomes identified in the Request for Proposal (RFP). It will be the responsibility of the applicant to submit proposals consistent with the RFP requirements. By applying, your agency asserts that the Request for Proposal document has been reviewed in its entirety and that, if selected, the agency will abide by the conditions for funding set forth therein.

**RFP Appendix A: Proposal to Provide Service**



**RFP APPENDIX A: Proposal to Provide Service**

Department of Social Services  
RFP#2023-005VF

***All fields must be completed. If not applicable, list "N/A". Incomplete proposals may be considered non-responsive.***

**AGENCY INFORMATION**

*(If submitting electronically, this information will be completed upon upload)*

<b>Official Agency Name</b>		
Agency Name –List another name if used.		
Agency Telephone Number		
Agency Mailing Address		
City	State	Zip
Website address (if applicable)		
Leadership - List the name of your agency's Chief Executive Officer, Executive Director, or President.		
Leader's E-mail Address		
Contact Person for proposal		
Contact Person's Telephone Number		
Contact Person's E-mail Address		
501(c)(3) not-for-profit entity <input type="checkbox"/> Yes <input type="checkbox"/> No		
Certified Minority Business Enterprise/ Women's Business Enterprise (MBE/WBE) <input type="checkbox"/> Yes <input type="checkbox"/> No		
Subcontractors - List all subcontractors that your agency does business with related to this service.		
Amount of Funding Request to ECDSS for this proposed contract \$		
Unit of Service for this proposal (e.g.: hour):		
Number of units to be served		
Cost per unit of service for this proposal (county funding + in-kind)/# units:		

**RFP Appendix A: Proposal to Provide Service**



RFP Appendix A: Proposal to Provide Service  
 Department of Social Services  
 RFP#2023-005VF

<b>Agency Name</b> - List the official name of your organization.
<b>Federal Employer ID# (FEIN)</b> - Please provide your agency's Employer Identification Number.
<b>DUNS #</b> - List your DUNS (data universal number system) Number assigned by Dun & Bradstreet, if applicable.
<b>501(c)(3) not-for-profit entity</b> - If non-profit, please provide date established as 501(c)(3).
<b>Language Access Coordinator</b> – List the name of the designated Language Access Coordinator.
<b>ADA Coordinator</b> – List the name of the designated ADA Coordinator.
<b>Erie County Employees</b> - Name, title, and department of any employee or officer who was an employee or officer of Erie County within the 12 months immediately prior to the proposal.

- Certified Minority Business Enterprise/ Women's Business Enterprise (MBE/WBE) Certification Letter attached
- Letter indicating agency is 51% or more veteran-owned attached

**PROGRAM INFORMATION**

**Start of Program Operations**

Describe your agency's ability to implement and staff the program in a timely manner, including provision of services, effective the date noted in the RFP.

--

**Program Summary**

Provide a brief summary description of the program including the agency and program name, population served, and key program features.

--

**TARGET POPULATION SERVED & GOALS**

**Description**

Identify the target population, geographic areas to be served, and capacity for service.

--

**Special Populations**

Describe any specialized services and resources, including accommodation of those with special needs, language translation and cultural differences.

--

**Capacity**

Indicate the proposed number of individuals or families to be served at a given time, the total number of individuals or families to be served in a year, as well as an explanation as needed.

Number of individuals or families to be served at a given time:	
Total number of individuals to be served in a year:	
Total number of families to be served in a year:	

**RFP Appendix A: Proposal to Provide Service**

**Experience**

Describe experience agency has working with the target population, and reasons it is equipped to assist this group.

**CULTURAL COMPETENCY**

**Racial Equity, Diversity and Inclusion**

Describe how racial equity is promoted and methods implemented to increase awareness, attitude, knowledge, and skills so as to prioritize measurable change in the lives of people of color.

Describe what group(s) has experienced disparities related to this program and whether their thoughts and knowledge are included in the program design. If so, how and if, not why.

Explain whether the program produces any intentional benefits or unintended consequences for the population impacted.

Describe whether the program results in a systemic change that addresses institutional racism.

**PROGRAM PLAN**

**Program Design**

Describe the service delivery model that will be used. Specify if this has been designated as an evidenced-based or promising practice by any authoritative organization. Describe how fidelity to this model will be kept.

**Availability**

Provide information about your days and hours of service availability as well as time frames for intake and engagement.

**Location(s) of Service**

Provide information for all program locations including any satellite locations where you operate.

**Process**

Describe the criteria and process for serving referred individual(s), include intake and termination protocols.

**Safety**

Provide information regarding the time of day that services are offered, security personnel available, open doors or locked, waiting room appearance, etc.

**Collaboration**

Discuss any partnerships or networks that are used to meet your program participant needs.

**Program Difference**

Provide any other information that you feel would distinguish your agency's approach to the delivery of the requested services, including any prior experiences and successes.

**PERFORMANCE MEASUREMENT**

**Performance Measures**



**RFP Appendix A: Proposal to Provide Service**

Describe your proposed approach to program evaluation and reporting to ECDSS. Clearly define how this project will meet the performance targets associated with this RFP, including follow-up, as well as how you will monitor compliance, outcome-based performance and implement a plan for quality improvement. Specify how poor performance will be addressed when requested by ECDSS or when the outcomes of the program fail to be achieved.

**Data Collection**

Describe how you collect program data, including specific procedures, tools and frequency.

**TRAUMA-INFORMED CARE**

**Implementation**

Describe if, and how, your agency implements trauma-informed care, specifically how it understands, recognizes, and responds to the effects of trauma.

**BUDGET**

**Billing**

Describe how your agency monitors and verifies the accuracy and sufficiency of its billing system to assure all claims made are proper and that adjustment is sought when issues are identified.

**PROGRAM STAFFING**

**Program Staff**

Describe program staff, including job titles, responsibilities, level of education/credentials, qualifications, experience and training that will be required for each position. Specify their role in providing the services and supervision protocols.

<b>Job Title</b>	<b>Responsibilities</b>	<b>Qualifications</b>	<b>Supervisor Job Title</b>

**Professional Development**

Describe all mandatory or optional professional development opportunities, including trainings, available to program staff.

**SCHEDULE A**  
**PROPOSER CERTIFICATION**

The undersigned agrees and understands that this proposal and all attachments, additional information, etc. submitted herewith constitute merely an offer to negotiate with the County of Erie (the "County") and is NOT A BID. Submission of this proposal, attachments, and additional information shall not obligate or entitle the proposing entity to enter into a service agreement with the County for the required services. The undersigned agrees and understands that the County is not obligated to respond to this proposal nor is it legally bound in any manner whatsoever by the submission of same. Further, the undersigned agrees and understands that any and all proposals and negotiations shall not be binding or valid against the County, its directors, officers, employees or agents unless an agreement is signed by a duly authorized County officer and, if necessary, approved by the Erie County Legislature, the Office of the County Attorney and/or the Erie County Fiscal Stability Authority.

It is understood and agreed that the County reserves the right to reject consideration of any and all proposals including, but not limited to, proposals which are conditional or incomplete. It is further understood and agreed that the County reserves all rights specified in the Request for Proposals (RFP).

It is understood and agreed that the undersigned, prior to entering into an agreement with Erie County, will properly execute the County of Erie Standard Insurance Certificate (example on pp. [ ] of this RFP), and that it will be complete and acceptable to Erie County.

It is represented and warranted by those submitting this proposal that except as disclosed in the proposal, no officer or employee of the County is directly or indirectly a party to or in any other manner interested in this proposal or any subsequent service agreement that may be entered into.

\_\_\_\_\_  
*Proposer Agency Name*

By:

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Name and Title*



**RFP APPENDIX B: Fiscal**  
 Department of Social Services  
 RFP#2023-005VF

**FINANCIAL INFORMATION**

Payee Name of Agency (if different than Legal Name)
Financial Contact Person Name/Title
Street Address/City/State/Zip
Agency's Fiscal Year (Start date - End date)
Amount of Funding Request to ECDSS for this proposed contract
FY of Request (Start date - End date)

**UNIT COST**

Unit of Service for this proposal as defined in the RFP (e.g.: hour):	
Number of units to be served	
Cost per unit of service for this proposal (county funding + in-kind)/# units:	

**V. CERTIFICATION**

The undersigned certifies that he or she is a principal officer of the applicant agency and has knowledge of, and certifies that the information contained herein is complete and accurate.

Furthermore, the undersigned certifies that the applicant sponsored programs, services and activities are available to the general public, advertised as such, and not subject to discrimination based on sex, race, creed, religion or national heritage.

\_\_\_\_\_

**SIGNATURE**

\_\_\_\_\_

**DATE**

\_\_\_\_\_

**NAME/TITLE**

RFP Appendix B: Fiscal

APPENDIX B - RFP Fiscal Calculations

AGENCY: \_\_\_\_\_

RFP# and NAME \_\_\_\_\_

FUNDING PERIOD: \_\_\_\_\_

The Budget Calculation pages request information in the following tables:

- 1) Summary Funding Request
- 2) Direct Program Expense Budget - County Funded
- 3) Administrative Overhead - County Funded
- 4) Agency In-Kind or Indirect Service Contributions
- 5) Revenue
- 6) Rate Calculation
- 7) Flex Fund Request (if applicable)
- 8) Staffing Review - Program Related County Funded
- 9) Staffing Review - Administrative County Funded

**It is recommended that items 8 and 9 on the Staffing Detail be completed first. Blue highlighted cells contain formulas and will populate automatically.**

Indicate in the following budget tables estimated program and administrative expense and revenue for the 2022 fiscal year. Comparative current year funding information should be included if the agency is requesting a continuation of a program funded in 2021 by the Department of Social Services.

**1) SUMMARY FUNDING REQUEST** (All cells will populate automatically from information entered in Tables 2-9)

SUMMARY PROGRAM COST AND REVENUE	Current Contract	Proposed Budget
Total Direct Program Operating Expense	-	-
Total Administrative Overhead Expense	-	-
Flex Funds - County Funded	-	-
<b>TOTAL COUNTY FUNDED PROGRAM EXPENDITURES</b>	-	-
In-Kind Agency Expenditures	-	-
<b>TOTAL PROGRAM EXPENDITURES</b>	-	-
REVENUE	Current Contract	Proposed Budget
County Funding	-	-
Agency In-Kind Revenue	-	-
<b>TOTAL REVENUE (Should match total Program Expense)</b>	-	-

<b>Agency In-Kind Revenue as % of Total Revenue</b>	-	-
---	---	---

**RFP Appendix B: Fiscal**

**2) DIRECT PROGRAM EXPENSE BUDGET - County Funded**

Indicate all expense items related to the direct provision of program services, including only **cash expenditures that will be provided with County funds**. Do not include Agency in-kind contributions or County Flex Funds.

<b>DIRECT PROGRAM EXPENSE - County Funded</b>	<b>Current Contract</b>	<b>Proposed Budget</b>
<b>Direct Program Staffing (from Staffing Table 8)</b>		
Total Salaries, Wages	-	-
Total Fringe Benefits	-	-
<b>Subtotal Salary and Fringe Benefits</b>	-	-
<b>Direct Operating Expense:</b>		
Employee travel/mileage		
General program related supplies		
Postage		
Maintenance and repairs		
Phones		
Utilities		
Insurance (directly related to program)		
Lease/Rent Vehicle		
Translation/Interpretation		
Equipment (List items):		
Contracted Client Services (List contracts):		
Contracted Services Not Client Related (List contracts):		
Other (specify):		
<b>Subtotal Direct Operating Expense</b>	-	-
<b>TOTAL DIRECT PROGRAM COSTS</b>	-	-

**RFP Appendix B: Fiscal**

**3) ADMINISTRATIVE OVERHEAD - County Funded**

County funded Administrative Overhead cannot exceed 15% of the total Direct Service Program Budget and must be consistent with the requirements of NYS Executive Order 38. Detail agency cash expenditures only.

<b>Administrative Overhead - County Funded</b>	<b>Current Contract</b>	<b>Proposed Budget</b>
<b>Personal Services (From Staffing Table 9)</b>		
Total Salaries, Wages	-	-
Total Fringe Benefits	-	-
<b>Subtotal Administrative Salary and Fringe Benefits</b>	-	-
<b>Administrative Operating Expense:</b>		
Please itemize below:		
Staff Development		
Public Relations		
Audit, Legal, Cons. Fees		
Dues, Licenses, Permits		
Other (Please list items below):		
<b>Subtotal Administrative Operating Expense</b>	-	-
<b>Total Administrative Overhead</b>	-	-
<b>Total Direct Program Costs (from table 2)</b>	-	-
<b>Administrative Expense as Percent of Program Cost Not to Exceed 15%</b>	-	-

**4) AGENCY IN-KIND or INDIRECT SERVICE CONTRIBUTION**

In-Kind donations, or indirect services, are defined as the provision of services by an agency for support of the program specified in this contract without charge to the county. Examples can be the use of space, equipment or the provision of staff time either program or administrative. The source of funds for these items may not be State, Federal or other County funded programs. In-Kind donations are not required but helps the Department of Social Services maximize revenue.

<b>In-kind Donations (List type of in-kind or indirect service contributions specific to this proposal along with an estimated value)</b>	<b>In-Kind Contribution Value 2020</b>	<b>In-Kind Contribution Value 2021</b>
<b>Total In-Kind</b>	-	-

**RFP Appendix B: Fiscal**

**5) REVENUE**

Detail below all revenue sources directly related to the total proposed program.

<b>Revenue</b>	<b>Current Contract</b>	<b>Proposed Budget</b>
Total Funds Requested from the County (Program plus Flex)		
Source of Agency In-Kind Services:		
<b>Total Revenue</b>	-	-

**6) RATE CALCULATION**

The agency reimbursement rate calculation excludes Flex Funds and In-kind services estimates.

<b>Agency Reimbursement Rate Calculation</b>	<b>Current Contract</b>	<b>Proposed Budget</b>
<b>Total Direct and Administrative Program Costs</b>	-	-
<b>Units of Services from Program Description</b>		
<b>Hourly Unit of Service Cost - Agency Reimbursement Rate</b>	-	-

**7) FLEX FUNDS REQUEST - (Only for agencies who received DSS prior flex fund approval)**

Provide a summary of the type of items that may be purchased with Flex funds. The maximum allocation is \$10,000. Flex funds are for client special needs and wrap around services. Payments will be subject to pre-approval by assigned caseworker. Flex funds are listed for direct reimbursement purposes and are not included in rate calculation.

<b>FLEX FUNDS - County Funded</b>	<b>Current Contract</b>	<b>Proposed Budget</b>
<b>Total Flex Fund Request</b>	-	-





**RFP Appendix B: Fiscal**

**9) STAFFING REVIEW ADMINISTRATIVE - COUNTY FUNDED**

In the following columns list all administrative staff. Indicate full or part time employees. Include all Full and Part-Time Executive, Administrative Support and Clerical Staff who do not provide direct client service and service supervision. Comparative current year staffing levels should be included if the agency is requesting a continuation of a program previously funded by the Department of Social Services.

Administrative Staffing Detail	Current Contract				Proposed Contract			
	# of Staff	% of Time	Annual Salary	Total Current Budget	# of Staff	% of Time	Annual Salary	Total Proposed Budget
Full Time Position Title:								
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
Part Time Position Title:				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
				-				-
<b>Total Salary:</b>			-	-			-	-
<b>Administrative Fringe</b>	<b>Rate</b>			<b>Total Current Budget</b>	<b>Rate</b>			<b>Total Proposed Budget</b>
FICA								
Pension/Retirement								
Workers' Comp.								
State Disability Insurance								
Life Insurance								
Health Insurance								
Other (Please list):								
<b>Total Fringe Benefit Cost:</b>				-				-
<b>Fringe Benefits as percent of total salary:</b>				-				-
Please attach fringe benefit rate sheet and detailed explanations if total fringe exceeds 35% of salary.								



**RFP APPENDIX C: Supplemental Information**

Department of Social Services

RFP#

Provide one copy of the most current information as noted below. These materials cannot be returned.

- Most recent Audit report prepared by an independent CPA
- Most recent Management Letter
- Listing of Officers and Board of Directors