



## COUNTY OF ERIE

### DIVISION OF PURCHASE INVITATION TO BID

Bids, as stated below, will be received and publicly opened by the Division of Purchase in accordance with the attached specifications. FAX bids are unacceptable. Bids must be submitted in a sealed envelope to:

County of Erie  
Division of Purchase  
Attention: James D. Kucewicz, Buyer (716) 858-6336  
95 Franklin Street, Room 1254  
Buffalo, New York 14202-3967

**NOTE:** Lower left-hand corner of envelope **MUST** indicate the following:

BID NUMBER: 250235-002

OPENING DATE: DECEMBER 31, 2025 TIME: 11:00AM

FOR: ELEVATOR MAINTENANCE – SOUTHTOWNS AWRRF

NAME OF BIDDER: \_\_\_\_\_

If you are submitting other Invitations to Bid, each bid must be enclosed in a separate envelope.

Following EXHIBITS are attached to and made a part of the bid specifications, and part of any agreement entered into pursuant to this Invitation to Bid:

<u>X</u>	EXHIBIT "A"	- Assignment of Public Contracts
<u>X</u>	EXHIBIT "B"	- Purchases by Other Local Governments or Special Districts
_____	EXHIBIT "C"	- Construction/Reconstruction Contracts
_____	EXHIBIT "D"	- Bid Bond (Formal Bid)
<u>N/A</u>	EXHIBIT "E"	- Bid Bond (Informal Bid)
<u>X</u>	EXHIBIT "EP"	- Equal Pay Certification
_____	EXHIBIT "F"	- Standard Agreement
<u>X</u>	EXHIBIT "G"	- Non-Collusive Bidding Certification
_____	EXHIBIT "H"	- MBE/ WBE Commitment
<u>X</u>	EXHIBIT "IC"	- Insurance CLASSIFICATION "A"
_____	EXHIBIT "P" & EXHIBIT "PBI"	- Performance Bond
_____	EXHIBIT "Q"	- Confined Space Program Certification
<u>X</u>	EXHIBIT "PW"	- NYS Prevailing Wage
<u>X</u>	EXHIBIT "V"	- Vendor Federal Compliance Certification

**County of Erie**  
**DIVISION OF PURCHASE**  
**NON-COLLUSIVE BIDDING CERTIFICATION**

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

(1) the prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or any competitor;

(2) unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

(3) no attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

**NOTICE**

(Penal Law, Section 210.45)

IT IS A CRIME, PUNISHABLE AS A CLASS A MISDEMEANOR UNDER THE LAWS OF THE STATE OF NEW YORK, FOR A PERSON, IN AND BY A WRITTEN INSTRUMENT, TO KNOWINGLY MAKE A FALSE STATEMENT, OR TO MAKE A FALSE STATEMENT, OR TO MAKE A STATEMENT WHICH SUCH PERSON DOES NOT BELIEVE TO BE TRUE.

**BID NOT ACCEPTABLE WITHOUT FOLLOWING CERTIFICATION:**

Affirmed under penalty of perjury this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

TERMS \_\_\_\_\_ DELIVERY DATE AT DESTINATION \_\_\_\_\_

FIRM NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

ZIP \_\_\_\_\_

AUTHORIZED SIGNATURE \_\_\_\_\_

TYPED NAME OF AUTHORIZED SIGNATURE \_\_\_\_\_

TITLE \_\_\_\_\_ TELEPHONE NO. \_\_\_\_\_

(Rev. 1/2000)

ERIE COUNTY OFFICE BUILDING, 95 FRANKLIN STREET, BUFFALO, NEW YORK 14202 (716) 858-6395

County of Erie  
DIVISION OF PURCHASE  
BID SPECIFICATIONS

Page 2 of 6 Pages

BID NO. 250235-002

Ship to:  
Attention:  
Address:

Ship Via:  
Date Required at Destination:

ITEM NO.	QUAN -TITY	U/M	CATALOG NO./DESCRIPTION	UNIT PRICE	TOTAL PRICE
			Proposal to cover Elevator Maintenance at the		
			Southtowns Advanced Water Resource Recovery Facility,		
			S-3690 Lake Shore Road, Buffalo, NY 14219,		
			per the attached specifications.		
			Contract will be for the period January 1, 2026 – December 31, 2027		

**NOTE:** Bid results cannot be given over the phone. All requests for bid results should be submitted in writing or faxed to:

**ERIE COUNTY DIVISION OF PURCHASE**  
Freedom of Information Officer  
95 Franklin Street, Rm. 1254  
Buffalo, NY 14202  
FAX #: **716/858-6465**

**NAME OF BIDDER** \_\_\_\_\_

(Rev. 9/95)

ERIE COUNTY OFFICE BUILDING, 95 FRANKLIN STREET, BUFFALO, NEW YORK 14202 (716) 858-6395

## SPECIFICATIONS

### **ARTICLE I     GENERAL PROVISIONS**

- 1001     The services to be performed by the contractor under this specification shall consist of furnishing all material, parts, labor, tools, and equipment necessary to provide complete maintenance of the elevators as above listed and hereinafter specified.
- 1002     This agreement requires the Contractor to become fully responsible for the maintenance as specified elsewhere herein of elevators, including the replacement of all parts, except those parts listed in the clause entitled 8009.5 "Component Exclusion" and all labor required to continually keep the elevators in compliance with the applicable **Latest Adopted Edition of the International Building Code and the ASME A 17.1 Code**.
- 1003     These Specifications require the doing of all things necessary, or proper for, or incidental to, the matter referred to in the immediately preceding paragraphs. In addition, all things not expressly mentioned in the Specifications but involved in carrying out their intent and, in the complete and proper execution of the matter referred to in the immediately preceding paragraphs are required by these Specifications and the Contractor shall perform the same as though they were specifically described.
- 1004     PERSONNEL UNIFORMS AND BADGES -The Contractor shall provide for its personnel all necessary distinctive uniforms and identification badges or woven identification insignia of a type and style which shall be subject to approval of the Owner, and the Contractors employees shall wear these uniforms and identification badges or insignia at all times when performing the operations hereunder.
- Employees without proper identification shall not be permitted to work. The uniforms must be worn by the Contractor's personnel at all times while working at the building. The Contractor shall be responsible to ensure that its employees are wearing proper shoes for the task being performed and follow proper safety precautions established for the trade.
- The Owner shall have the right to require removal of any employee who shall fail to wear the proper uniform and shoes or fails to follow proper safety precautions and exercise of this right shall not limit the obligations of the Contractor to perform the Work.
- 1005     HIGH SECURITY AREAS - Work under this Contract may be required in high security areas as such may be designated, at any time, by the Owner. The Owner will require observance of certain security procedures with respect to the performance of such Work which procedures may include the escort to, at and or, from such high security areas for personnel working therein.
- 1006     TRASH REMOVAL
- (a)     The Contractor shall arrange to dispose of all liquid and solid refuse in a lawful, safe and efficient and anti-pollutant manner subject to the approval of the Owner. All items deemed by the Contractor to be scrap and not economically reusable shall first be examined by the Owner and record of same for inventory control purposes shall be maintained. The Designated Representative shall have the option to have the Contractor dispose of same or cause to have the item turned over to the Owner for disposal.
  - (b)     The Contractor shall remove monthly from the Building by means provided by the Owner, all garbage, debris, and other waste materials (whether solid or liquid) arising out of or in connection with its operations hereunder, and any such garbage, debris and other waste materials not immediately removed shall be temporarily stored in a clean and sanitary condition, approved by the Owner, in suitable garbage and waste receptacles.
  - (c)     Removal of waste and/or hazardous materials by the Elevator Contractor shall be accomplished in accordance with local laws, state and federal standards applicable.

- 1007 GRATUITIES/LOST AND FOUND - No personnel employed in performing the Work shall solicit or accept gratuities, for any reason whatsoever, from passengers, tenants, customers or other persons at the Site of the Work. Any articles found by such employees at the Site of the Work shall be immediately turned over to the Designated Representative. The Contractor shall instruct its employees (and shall cause any subcontractors to instruct their employees) in the provision of this numbered clause.
- 1008 VACATING THE SITE OF THE WORK - Upon the expiration or earlier termination or revocation of this Contract, the Contractor shall remove its equipment, materials, supplies, and other personal property from the Site of the Work. If the Contractor shall fail to remove its property on or before the expiration, termination or revocation of this Contract, the Owner may remove such property to a public warehouse for deposit or retain the same in its own possession, and sell the same at public auction, the proceeds of which shall be applied first to any expenses of removal, storage and sale and second, to any sums owed by the Contractor to the Owner. If the expenses of such removal, storage and sale exceed the proceeds of sale, the Contractor shall pay such excess to the Owner upon demand.
- 1009 USE OF PATENTED MATERIALS -The right to use all patented material, composition of matter, manufacturers, apparatus or appliances required in-connection with this Contract shall be obtained by the Contractor without separate or additional compensation. The Contractor shall indemnify the Owner and its Designated Representative against and save them harmless from all loss and expense incurred in the defense, settlement or satisfaction of any claims in the nature of patent infringement arising out of or in connection with the Owner's use, in accordance with the preceding paragraph of this clause, of such patentable subject matter or patented material, composition of matter, manufacturers, apparatus or appliances. If requested by the Owner, and if notified promptly in writing of any such claim, the Contractor shall conduct all negotiations with respect to and defend such claim without expense to the Owner or its Designated Representative.
- 1010 GENERAL OBLIGATIONS
- (a) Except with the prior written approval of the Owner, or as specifically authorized or required elsewhere herein, the Contractor shall not erect, maintain or display any signs, posters or advertising at the Site of the Work except those mandated by governing authorities for local law compliance.
- (b) In order to effectuate the policy of the Owner, the Contractor shall comply with all provisions of federal, state, municipal, local and departmental laws, ordinances, rules, regulations and orders which would affect the Contract and the performance thereof and those engaged therein, except where stricter requirements are contained in these Specifications, in which event the latter requirements shall apply. However, the Contractor shall apply for any permits, licenses or variances in the name of or on behalf of the Owner where required by law and pay all associated fees or other charges.

## **ARTICLE II COMPETENCY of BIDDER**

- 2001 The contractor shall have had a qualified service organization in active operation for a minimum of five (5) years. This organization must have had a history of competent service experience in maintaining the specific types of automatic elevator systems described in these specifications, and must have on its payroll sufficient qualified experienced supervisory personnel to guarantee satisfactory performance of this contract. The bidder shall furnish, with his bid, a statement that he has in his employ and under his supervision the necessary personnel and organization, and that he possesses facilities, located within the County of Erie, to properly fulfill all the services and conditions required under these specifications. All maintenance personnel used in fulfilling the requirements of this contract must be qualified to maintain this type of equipment. The bidder's statement shall also include specific locations which have been serviced by the bidder and the dates during which the service has been performed. Consideration will not be given to bids submitted by an individual, firm, or corporation who has established on former projects, either government or commercial, an unsatisfactory record of performance in connection with repair of elevators. The County reserves the right to determine the competency of contractors being considered for the award of this contract. The County will take into consideration all factors which are deemed pertinent to the satisfactory execution of this contract.

- 2002 The Contractor (and any subcontractor) shall furnish competent and adequately trained personnel to perform the Work required hereunder. Maintenance shall be performed by Journeypersons, competently supervised, who shall be qualified to keep the elevators adjusted and repaired and in proper operating condition. If, in the opinion of the Designated Representative, any employee so assigned is performing its functions unsatisfactorily, he shall be replaced by the Contractor within twenty-four (24) hours following the Contractor's receipt of the Designated Representative request for such replacement.
- 2003 Journeypersons providing maintenance and repairs of elevators under this agreement shall have, successfully completed an industry accredited course covering maintenance and repairs of elevators and must possess a minimum of five (5) years previous Journeypersons experience maintaining elevators of similar type and complexity to those covered under this agreement.

### **ARTICLE III CONTRACT TERM**

- 3001 The services to be performed under this contract at the quoted price shall be provided for a period beginning January 1, 2020 and ending December 31, 2021.
- 3002 The County may, at its sole option, terminate or suspend any part of the services described herein when conditions change, operation of a county facility is reduced or is discontinued, or other similar circumstances take place. In the event of such termination or suspension, payments for services shall be suspended without penalty.

### **ARTICLE IV PAYMENTS**

- 4001 Payments in the amounts stipulated in the contract shall be made monthly in arrears, upon submission of properly certified vouchers and invoices. Upon notice from the County that there is the need for termination or addition of any individual service requirement under this contract, payment shall be made for any fractional part of a month's service at the rate of one-thirtieth (1/30) of the monthly charge for each day of service rendered.

### **ARTICLE V LIABILITY**

- 5001 The contractor shall not be liable for injury to persons or damage to the property except that which is directly due to his own acts or omissions. The responsibility of the County for injuries to persons or damage to property while on or about the elevators referred to is in no way affected by this agreement. The contractor shall not be liable for any loss, damage, or delay caused by fire, explosion, theft, flood, riot, civil commotion, war, malicious mischief, vandalism, act of God or any causes beyond his reasonable control.

### **ARTICLE VI FAILURE TO COMPLY**

- 6001 In the event that the contractor fails to maintain the elevator equipment in accordance with these specifications, or violates any of the provisions hereof, the County of Erie may, upon ten days' notice in writing to the contractor, engage the services of others to perform all work necessary to bring the equipment up to good operating standards. The cost of this work shall be at the contractor's expense.

### **ARTICLE VII SCOPE OF WORK**

- 7001 The work to be performed by the contractor under this specification shall consist of the hereinafter specified elevator and escalator inspection and maintenance service. Incomplete descriptions and/or omissions shall not abrogate the Contractor's responsibility to provide full comprehensive all-inclusive full coverage maintenance service.
- 7002 WORKING HOURS Normal maintenance work under these specifications shall be performed during normal working hours and on regular working days established for the trade.

- 7003 **PREVENTIVE MAINTENANCE SERVICE** The contractor shall cause one of his competent mechanics to report, as specified below, to the Chief Engineer or person assigned by the Department Head at such facility and said mechanic shall proceed at once to make all the repairs and adjustments which in his opinion are required to keep the elevator and escalator equipment in safe and first class operating condition. This work shall also include regular and systematic inspection of the elevator and escalator equipment in accordance with the manufacturer's recommendations as reflected on original equipment manufacturer's recommended maintenance procedure check charts. The contractor shall make adjustments or replacement of all worn or deteriorated parts. It is the Contractor's responsibility to provide full comprehensive all-inclusive full coverage maintenance service. Semi-monthly (24 times per year) inspections shall be made.
- 7004 **CALL BACK SERVICE** In the event that an elevator or escalator is shut down during working hours due to the failure of the controls or mechanical parts, the contractor shall cause one of his competent mechanics to be on the premises within one hour after notice by telephone, and said mechanic shall proceed at once, and continue without stopping during working hours only, to make necessary repairs or adjustments to place the elevator in safe and first class operating condition under the terms of this service contract and at no additional charge to the County of Erie.
- 7005 **EMERGENCY OVERTIME SERVICE** The contractor shall provide emergency adjustment call service outside of normal working hours for the trade on any day of the week at any hour, day or night, holidays included. The contractor shall cause one of his competent mechanics to be on the premises within one hour after notice by telephone, and said mechanic shall proceed at once, and continue without stopping, if necessary, to make repairs or adjustments to place the elevator in safe and first-class operating condition. Such emergency call service is to be billed separately at the appropriate prevailing overtime labor rate minus the normal straight time prevailing labor rate.
- 7006 **TELEPHONE SERVICE** The contractor shall maintain a continuous telephone service where he can be reached twenty-four (24) hours each day, seven days each week, Sundays and holidays included.

#### **ARTICLE VIII      EXTENT OF WORK**

- 8001 The work described herein shall be performed by the contractor and unless otherwise specified, applies to all parts of the equipment of the listed elevators, including, but not limited to all machines, motors, motor generators, controllers, governors, sheaves, selectors, worms, gears, thrusts, bearings, brake magnet coils, brake shoes, brushes, windings, commutators, rotating elements, contacts, oil buffers, coil resistors, fuses for operating circuits and for motor circuits, magnet frames, cams, car door and hoistway door hangars, tracks and guides, car and counterweight guide rails, counterweights, door operating devices, interlocks and contacts, push buttons, traveling cables, electronic tubes, semi-conductors, hall lanterns and indicators, all wire ropes and cables, shackle springs, bulb replacements in signal systems, programmers, computers or other electronic control systems, all elevator signal and accessory equipment including power cables, all fan and ventilating equipment for elevator cars, elevator lighting and emergency lighting systems, and floor tile covering.
- 8002 The contractor shall provide constant high-quality service to properly protect all elevator equipment from deterioration. Equipment must be capable of constant peak performance, resulting in a minimum of downtime for any portion of the system.
- (a) The Contractor shall maintain each elevator in proper adjustment for smooth, quiet operation. Elevator manufacturer's approved lubricants and cleaning materials or the equivalent approved by the Designated Representative shall be furnished by the Contractor.
- (b) The preventative maintenance specified herein is considered the minimum for all equipment. If specific equipment covered by this Contract requires additional preventative maintenance for safe reliable operation, as specified by the manufacturer or by ASME A17.1 standards, the Contractor shall perform the required additional preventative maintenance without added cost to the Owner.
- 8003 Elevators shall not be taken out of service for maintenance inspection during rush hours, Mondays through Fridays, except where an unsound operating condition exists. This rush hour period may be changed as required by the Department Head at such facility.
- 8004 If for any reason an elevator should be out of service for more than the usual troubleshooting time of "30-60 minutes", then the contractor shall notify the engineer in charge when the elevator was taken out of service, the reason "why", and "what time" the elevator or escalator is expected to be put back into service for proper and safe operation.

The contractor shall make regularly scheduled examinations and adjustments to the elevator systems in accordance with the manufacturer's recommended maintenance procedure check charts. The maintenance records shall record the following activities:

- Description of maintenance task performed and dates;
- The record shall be legible and clearly indicate the activity that occurred. Abbreviations and "codes" shall be clearly defined. The usage of vague entries such as "P/M" or "preventative maintenance" without an associating action with a portion of the equipment will not be considered adequate record keeping;
- Description and dates of examinations, tests, adjustments, repairs, and replacements;
- Description and dates of callbacks (trouble calls) or reports that are reported to elevator personnel by any means, including corrective action taken;
- The record shall be readily available. If the records are maintained on the premises other than in the elevator machine room, there shall be a sign in the elevator machine room clearly indicating where the record can be found;
- If the record is maintained electronically, then a sign indicating the directions for obtaining the electronic maintenance records shall be in the machine room. The electronic format shall be either web based, e-mail or faxed. If these conditions cannot be met, then a hard-copy maintenance log will be required to be maintained in the machine room.
- The records shall be maintained to show the activity for not less than the previous 12 months.

The contractor shall maintain a proper Elevator Check Chart for each elevator in the machine room for that elevator. Each Check Chart must be kept up to date at all times so as to reflect the scheduled items of maintenance. Upon completion of maintenance, the contractor must properly initial the chart to indicate the work has been accomplished.

In addition to check charts, the contractor shall maintain on the premises, a log on each and every elevator outlining cleaning, repairs, replacement, or adjustments performed on the equipment. These logs shall be available at all times for Erie County inspection and upon termination of the contract shall become the property of Erie County.

Prior to beginning any work, the contractor must sign in as a visitor. Upon completion the contractor must obtain a signature on the job ticket/test results form from an Erie County DSM employee and furnish a copy of the signed ticket/form to the Erie County DSM employee and to the Erie County DPW Elevator Review Department, 45 Oak Street, Buffalo, NY 14203.

## 8006 SCHEDULE OF INSPECTIONS AND SERVICES

The Contractor shall make as a minimum; the following scheduled inspections, checks and services to each of the elevators and all their individual components, and at the indicated frequencies as further specified:

### **Minimum Semi-Monthly Requirements: (24 times per year)**

- 1) See Owner representative - Investigate and correct all complaints.
- 2) Operate Elevator: (From inside the car under normal operation.)
  - a) Check for any unusual noise or operation function.
  - b) Check floor stopping accuracy/leveling/pre-door opening.
  - c) Check alarm bell/stop switch.
  - d) Check door protection/operational appurtenances.
  - e) Perform necessary, immediate repairs/adjustments.
  - f) Check operating and signal equipment.
- 3) Machine Room and Secondary:
  - a) Observe controllers and relay panels. Check contactors for burning and wear. Inspect wiring and physical condition of components for deterioration, heating and contamination.
  - b) Motors and/or Generators - Check for proper lubrication of bearings. Inspect brushes and commutation with car in operation. Check each unit for noise, vibration and heating and clearances between rotating elements and poles.
  - c) Hoisting Machines and Brakes - Check all lubrication provisions, empty drip pans and wipe down equipment. Observe worm gears for back lash and thrust play where applicable. Inspect brake components for wear and operation. Observe physical conditions in standing and operating modes.



- d) Drive Sheaves and Wire Ropes - Observe physical conditions in standing and operating modes. Overspeed Governor and Auxiliary Sheaves - Check for any unusual noise, vibrations or other physical deterioration.
- e) Pump units and hydraulic valves - Check for proper tension or wear on pump motor belts, oil leakage from valves, pump unit or tank. Check each unit for noise, vibration, or low oil condition. Repair or replace to correct any deficiency found.
- f) Perform necessary immediate repairs/adjustments

**Minimum Monthly: (12 times per year)**

- 1) Perform general inspection of machinery, traction motor, generator, brushes, gear box, pulleys, brakes, governor, selectors, or floor controllers. Lubricate as required.
- 2) Empty drip pans, discard oil, check reservoir oil level.
- 3) Inspect and lubricate machinery, contacts, linkage and gearing.
- 4) Clean and inspect controller, selectors, relays, connectors, contacts.
- 5) Ride car and observe operation of doors, leveling, reopening devices, and smoothness.
- 6) If rails are lubricated, check condition and lubrication. Service lubricators.
- 7) Check operation of all hoistway door interlocks.
- 8) Inspect all lighting associated with the elevators, including, but not limited to pit lights, equipment room lights, shaftway lights, floor indication lights, car and hall station push button lights, interior and exterior direction lights, arrow lights, signal lantern lights, underfloor lights, cab, entrance and roof lights. Replace as needed. The Contractor shall relamp all inoperative lights and so indicate in the checklist of the "Service Maintenance Form" specified hereinafter. Check all alarms and maintain in proper working order.
- 9) Remove litter, dust, oil and other extraneous materials from all machine room equipment, door saddles and other areas of the elevators not accessible from elevator lobby.
- 10) Clean trash from pit and empty drip pans, discard oil. Examine plunger seals and correct excess leakage.

**Minimum Quarterly: (4 times per year)**

- 1) Observe operation of elevator throughout its full range and at all floors it serves to test controls, safety devices, leveling, relevering, and other devices.
- 2) Check door operation. Clean, lubricate and adjust brake checks, linkages, gears, wiring, motor, check keys, set screws, contacts, chains and cams.
- 3) Inspect interior of cab. Test telephone or communication system, normal and emergency lights, fan, emergency call system or alarm, miscellaneous hardware, control panel and emergency lights.
- 4) Inspect hoistway and pit. Clean and lubricate equipment as required. Service guide rail lubrication.
- 5) Test mechanism. Observe operation of motor, generator, brakes, governor, traction machinery and sheaves.
- 6) Test manual and emergency control applicable to systems.
- 7) Check oil level in car and counterweight oil buffers, oil hydraulic systems, add oil as required.
- 8) Visually inspect controller, selector, contacts and relays. Check adjustments and replace contact as required.

- 9) Check hallway doors. Clean, lubricate and adjust tracks, hangers and upthrust, eccentrics, linkage, gibs and interlocks.
- 10) Clean, adjust and lubricate car door or gate tracks, pivots, hangers, car grille and stile channels.
- 11) See building representative. Correct all complaints and conditions recorded. Perform necessary immediate repairs and adjustments.
- 12) Operate Elevator: (From inside the car under normal operations)
  - a) Check for any unusual noise or operation function.
  - b) Check floor stopping accuracy/leveling/pre-door opening.
  - c) Check alarm bell/stop switch.
  - d) Check door protection/operational appurtenances.
  - e) Check all operating and signal fixtures for illumination and audible functions.
  - f) Check interior ventilation provisions, emergency lighting, light controls and auxiliary equipment.
  - g) Check and observe door operations. Inspect door alignment, guides and closing pressure.
- 13) Machine Room and Secondary:
  - a) Observe controllers and relay panels. Check contactors for burning and wear. Inspect wiring and physical condition of components for deteriorations, heating and contamination.
  - b) Check all controller resistance tubes, grids and connections for obvious deficiencies.
  - c) Remove controller fuses. Clean fuses and holders.
  - d) Inspect selector and/or encoder drive components and operating functions. Lubricate components per the O.E.M. specifications.
  - e) Motors and/or Generators - Check for proper lubrication of bearings. Inspect brushes and commutation with car in operation. Check each unit for noise, vibration and heating. Check brush tensioning and wear. Perform a visual inspection of armature, field coils and interpole windings, connections, leads and commutator risers for physical deterioration and damaged insulation.
  - f) Hoisting Machines and Brakes - Check all lubrication provisions, empty drip pans and wipe down equipment. Observe worm gears for back lash and thrust play. Inspect brake components for wear and operation. Check all machine component fastenings to include drive sheave and ring gear bolts, machine hold-downs, couplings, brake drum pulleys, isolation mounts and covers. Inspect brake linings and drum surfaces.
  - g) Drive Sheaves and Wire Ropes - Observe physical conditions in standing and operating modes. Inspect position of wire ropes in traction drive sheave grooves. Monitor rope slippage under normal operating modes. Inspect all speed monitoring and control apparatus.
  - h) Overspeed Governor/Auxiliary Sheaves - Check for any unusual noise, vibrations or other physical deterioration. Ensure seals and tags are properly affixed and legible. Check governor(s), selector drives and auxiliary sheave components in accordance with O.E.M. specifications.
- 14) Car Top:
  - a) Clean, lubricate and adjust master door operator when conditions warrant.
  - b) Inspect car guides for wear and alignment. (Lubricate sliding shoe systems.) Adjust guide tensioning and observe operation.
  - c) Inspect car and counterweight cable hitches.

- d) Inspect counterweight assembly, alignment and cable tensioning/wear.
  - e) Inspect door engaging equipment, car and shaftway door top track assemblies, safety interlock switches and operating linkages for physical wear, dirt or other deteriorations. Clean, lubricate, repair and adjust systems when conditions warrant.
  - f) Observe condition of upper slow-down, directional and final limit switch devices.
  - g) Inspect wire rope conditions and equalization at a minimum of six (6) points in shaftway.
  - h) Inspect hoistway landing, leveling and encoding equipment for alignment, operation and physical condition.
  - i) Inspect top of car operating station, emergency exit cover, work lighting, auxiliary safety switches, tapes and appurtenances.
- 15) Pit Area:
- a) Clean and lubricate governor tension sheave assembly. Check weighted clearance. Inspect cable condition.
  - b) Observe condition of buffer equipment and mountings, strikers, plates, switches and blocking.
  - c) Check stop switch and lighting provisions.
  - d) Inspect compensation equipment. Lubricate applicable component parts and check electrical or other safety provisions for physical deterioration.
  - e) Inspect bottom car guides for wear, alignment and tensioning.
  - f) Inspect bottom of car, safety mechanism, electrical traveling cables and component hitch connections.
  - g) Observe condition of bottom terminal slow-down, directional and final limit switch devices.
  - h) Sweep pit area and remove all trash and debris.
- 16) Miscellaneous:
- a) Check all indicating lights, lanterns, gongs, audible and visible signals for proper operation.
  - b) Check all hall push buttons for proper operation.
  - c) Verify that mandated emergency operation testing has been performed per local law requirements or governing authority regulations as directed under this Agreement.
  - d) Record all inspection and lubrication procedures completed and issue copy of check sheets or other recorded data to Designated Representative with written recommendations for work procedures to be done by others or an extra cost to the Owner by the Elevator Contractor.
- 17) Perform immediate repairs/adjustments. Notify the Designated Representative and schedule major procedures necessitating extended out-of-service time within forty-eight (48) regular working hours of the preventative maintenance inspection.

**Minimum-Semi-Annual : (Two times per year)**

- 1) Check leveling operation. Clean and adjust leveling switches, hoistway vanes, magnets and inductors. Repair and/or adjust for proper leveling.
- 2) Inspect car-safety mechanism, clean and keep free of rust and dirt, and lubricate as necessary.
- 3) Monitor sequence of operation and compare same to the O.E.M. design specification.

- 4) Check and record individual car performance levels:
  - a) Door open cycle time.
  - b) Door close cycle time. Long door non-interference dwell time.
  - c) Short door non-interference dwell time.
  - d) Reduced door non-interference dwell time.
  - e) Brake to brake time.
  - f) Flight time.
  - g) Door closing pressure.
  - h) Tachd speed up direction.
  - i) Tachd speed down direction.
- 5) Check fire control Phase I and II manual operations in conjunction with ASME A17.1 requirements.
- 6) Check all safety switches for doors, gates or other passenger protection devices.
- 7) Ensure hoistway doors are properly aligned, set and self-closing.
- 8) Check emergency cab interior lighting system and communication device operations.
- 9) Check car door locking, safety switches and passenger protections for proper operation.
- 10) Observe elevator operation for quality of ride, acceleration, deceleration, noise and floor stopping accuracy. Pre-opening, re-leveling or other operational features checked from inside each car.
- 11) Perform immediate minor adjustments or repairs to maintain O.E.M. performance standards.
- 12) Prepare a written report for all examinations performed and issue same to the Owner.

**Minimum Annual:**

- 1) Check controllers and selectors. Clean with blower, check alignment of switches, relays, timers, contacts, hinge pins, and other controller components, adjust and lubricate. Check all resistance tubes and grids. Check oil in overload relays, settings, and operation of overloads. Clean and inspect fuses and holders and all controller connections.
- 2) In hoistway, examine guide rails, cams and fastenings, hoist and governor wire ropes and counterweight. Inspect and test limit and terminal switches. Check and adjust car shoes, gibs or roller guides. Adjust or replace as needed. Lubricate hoist wire ropes in accordance with A17.1 - Section 1206.
- 3) Clean all overhead beams, sills, bottom of platform, car tops and hoistway walls.
- 4) Clean car light fixtures.
- 5) Thoroughly clean car and counterweight guide rails using a nonflammable or high flash point solvent to remove lint, dust and excess lubricant in accordance with ANSI/ASME A17.1 Section 1206.
- 6) Thoroughly clean the machine room, pit, top and bottom of car and all other elevator components and areas.
- 7) Dismantle machine brake assembly. Inspect all pivot pins, bushings, collars, sleeves, guides, bearings or other operating apparatus for wear. Replace worn component parts; provide new spacers, washers, fittings, etc., to ensure unrestrictive operation. Readjust assembly in accordance with O.E.M. design criteria.

- 8) Drain and flush machine housings, oil hydraulic storage tanks, bearings and lubrication parts. Inspect all exposed equipment for wear. Replace worn or damaged bearings, seals, packings and gaskets.
- 9) Blow out or vacuum windings in rotational equipment, inspect apparatus for internal damages, overheating or other deteriorations. Clean and service contaminated brush riggings, inspect bearings and shafts for wear. Apply insulating varnish to exposed windings and ensure all leads, connections or other electrical apparatus are properly insulated. Inspect grounding provisions and take necessary actions to correct deficiencies. Adjust brush settings, compounding and/or other apparatus to ensure proper operation and efficiencies are maintained.
- 10) Inspect all systems in accordance with A17.1, Rule 1002.2 and every fifth (5th) year per Rule 1002.3, 1002.3C and any additional local law requirements applicable.
- 11) Inspect all systems in accordance with A17. 1, Rule 1005.1 to 1005.4 at the time intervals specified therein.
- 12) Record all procedures completed under the annual preventative maintenance program and issue an annual report to the Owner incorporating extraordinary repairs/adjustments necessary, suggested modifications, component upgrades or other recommendations for improved safety, reliability and performance.

**Contractor shall perform all necessary tests as required by the authorities having jurisdiction.**

8007 The contractor shall make all repairs necessary as disclosed as a result of the aforementioned inspections or the inspection of other contractor employees, the County of Erie, or joint inspections as hereinafter defined in Article 9.

8008 The contractor must have available for immediate delivery and installation a sufficient supply of emergency parts for repair of the elevator system covered by the contract, as determined by the Commissioner of Public Works.

All replacement parts must be new and shall be specifically designed for the elevators on which they are used. The contractor shall provide replacement parts furnished by the original manufacturer of the elevator system, or the sub-supplier of such original manufacturer's parts; except that, as an alternative to supplying the original parts, new parts from other source of supply which have been demonstrated to be commercially acceptable and have been successfully supplied under past government contracts, may be used.

In the event that the contractor purchases any replacement parts from sources other than the original manufacturer of the elevator system or his suppliers, the contractor shall submit a list of such parts and suppliers with his bid at the time of bidding, for approval. If so requested by the County, sufficient substantiation of commercial acceptability of a new supply of parts for the make of elevator in service in County facilities shall be made by an independent testing laboratory. Such tests shall be authorized by the Commissioner of Public Works and the contractor. All costs for such tests shall be borne by the contractor.

8009 The Commissioner of Public Works or his agent, prior to the award of a contract, and during the term of the contract, shall have the right to inspect the supply of parts maintained by the contractor, and to inquire into the methods to be used by the contractor to obtain parts when they become necessary, to the end that the commissioner of Public Works must be satisfied prior to the execution of the contract and during the term of the contract that the contract will be able to satisfactorily perform the requirements of his contract, without undue delay.

The successful bidder must identify in his bid by name, address, and telephone number, a source in Erie County for immediate stator rewinding of all a/c motors.

It being understood that the contractor shall have available at all times for immediate delivery and installation a sufficient supply of emergency spare parts for the repair of each elevator, the contractor shall have in his inventory at all times at least one of each of the following, for each size and type used, for elevators which have these particular features:

- A. Door operator motors and gear reduction units.
- B. Transformers and rectifiers for each type and size used.
- C. Brake magnets, cores, coils, and related items for the repair of the brake.
- D. Generator and motor brush sets for each type and size used.
- E. Controller and selector switch contacts and coils for each size and type used.
- F. Selector tapes and selector motors.
- G. Door interlocks.
- H. Car door safety edge (complete).
- I. Car door electronic safety device.
- J. Car door photo electric safety device.
- K. Hangar rollers for both car and hall doors.
- L. Limit switches and terminal stopping switches.
- M. Roller guides for car and counterweight.
- N. Electronic tubes for each type and size used.
- O. Car and counterweight guide shoes.
- P. Escalator steps, comb plates and hand rail.
- Q. Hydraulic elevator pumps, packing, seals, glands, V-belts, and sheaves.
- R. Hydraulic elevator valves (complete).
- S. Standard pushbuttons, lamps and related signal fixtures.

It is further understood that these parts are included as part of the cost of this contract and are to be provided as required, at no additional cost to the County of Erie, within the limitations as set forth in Section 8013.

8009.5

The following list of equipment is provided as a means to establish the full comprehensive intent of this agreement. Coverage shall include all associated parts, appurtenances and procedures whether specifically defined or not and shall include the necessary hoisting, rigging or other procedures required for execution of the repair, replacement, adjustment and service of equipment covered under this agreement.

### **Elevators**

#### **(a) Hoisting Machinery Complete:**

Geared and gearless units, shafts, bearings, drive sheaves, deflector sheaves, auxiliary sheaves, pulleys, couplings, worms, gears, thrust assemblies, packings, seals, lubrication devices, brake coils, brake shoes, brake drums, brake linkages, brake contactors; and all associated sleeves, bushings, "O" rings, castings, hardware, guards, mounting apparatus and support structures, wire ropes and related attachments and supporting elements.

#### **(b) Motors, Motor Generators and Power Drives Complete:**

Windings, insulations, lamination, coils, commutators, shafts, bearings, leads, cast members, lubrication devices, seals, gaskets, brush riggings, tensioning devices, carbon brushes, connectors, terminals, rotating elements, stators, armatures, field coils, interpoles, isolation mounts, solid mounts, hardware, solid-state components, isolation transformers, sound chokes, wiring and all control apparatus located outside the primary signal or motion control enclosures necessary or a part of these systems for control and operation.

#### **(c) Hydraulic Systems Complete:**

Hydraulic pump motor, pump, valves, all above ground hydraulic piping, fittings, and seals, hydraulic cylinder packing gland, hydraulic oil in system, hydraulic piston.

#### **(d) Signal and Motion Speed Control Apparatus Complete:**

Controllers, dispatching equipment, selectors, selector drives, valve assemblies, encoders, tapes and cables with related driving equipment, tachometers and associated control input and monitoring devices, relays, solid-state devices, microprocessor equipment, resistors, solenoids, grids, insulators, arc shields, leads, coils, timers, protection devices, circuitry, dashpots, condensers, transducers, mounting apparatus, hardware, cabinets and associated regulators, exciters or electrical and mechanical driving equipment with safety switches.

(e) Door Operating and Safety Apparatus Complete: (Car and Hoistway)

Power operators, top track assemblies, hangers, rollers, stops, guides, bumpers, electromechanical interlocks, safety switches, auxiliary door closing mechanisms, clutch engaging devices, safe-edges, photoelectric eye systems, infrared protection systems, power modules, transformers, control apparatus, mechanical locking systems, wiring and all associated mounting or operating apparatus.

(f) Car Equipment:

Frames, safety plank, crosshead, hitch assemblies, safety mechanisms, safety switches, platforms, guides, wire rope connectors and related apparatus, load weighing devices, operating stations, ventilation equipment, exterior work lighting, rope ladders, top and side exit cover locks and switches, toe guards, electrical equipment, cams, rollers, tensioning devices, sheaves, shafts, bearings and auxiliary component systems mounted on or attached to the elevator car which are not specifically excluded under this agreement.

(g) Hoistway, Secondary and Pit Equipment:

Car and counterweight guide rails, brackets, fishplates, mounting apparatus and hardware. Car and counterweight overspeed governors and related safety apparatus, tension sheave assemblies, guards, wire ropes, switches, wiring and attachment hardware. Wire rope hoisting cables, deflector sheaves, 2:1 sheaves, double-wrap sheaves, shafts, bearings, castings, hardware appurtenances.

Followers, sheaves, cables, switches, and hardware. Buffer assemblies, guides, rollers, extensions, strike plates, mounting apparatus and all related hardware. Electrical wiring, traveling cables, conduits, boxes, terminals, attachment and/or enclosure apparatus, hardware and protection equipment.

Compensation equipment, wire ropes, chains, sheaves, shafts, bearings, weights, cams, switches, castings, attachment and/or mounting hardware with all associated electrical and mechanical apparatus. Counterweight assembly, frames, rods, guides, fillers, support structures, extensions, safety mechanisms, castings and hardware complete.

Hoistway fascias, guards, dust covers, speed limiting devices, limit switches, landing and leveling apparatus, safety switches, door access provisions, tensioning devices, tapes, cables and auxiliary equipment necessary for, operation of other systems covered under this agreement.

(h) Operating and Signal Fixtures Complete:

Car and hall stations, push button devices, visible and audible signals, communication devices inside the cars and wiring in hoistways, car ventilation equipment, emergency lighting apparatus, direction indicators, floor position indicators, monitors and remote control or recording apparatus together with all associated wiring and systems inside cars, hoistways and remote areas of the building. Emergency power elevator interlocking controls, lobby stations, signaling and monitoring apparatus, CRTs, keyboards, key switches, toggle switches, indicators and related components.

(i) Component Exclusions:

The following elevator components are excluded for normal wear and tear repairs or replacements: Car enclosures (including removable panels, suspended ceilings, lighting fixtures, light diffusers, floor coverings, entrance thresholds, trim and car panel doors). Hoistway enclosures, entrance frames, door panels and sills.

Machine room power disconnect switches together with fuses, power wiring located before the means of primary disconnect, power fuses or circuit breakers located in the primary means of disconnect, elevator machine room general lighting and ventilation, emergency power switching or other apparatus not included in the elevator control panels or related remote operating and signal stations.

Underground hydraulic piping and buried hydraulic cylinders. Support structures for machine beams or other apparatus normally provided by others and not subject to preventative maintenance procedures by the Elevator Contractor, machine room or other equipment access doors with associated locks and closers.

(j) Subsequent Equipment Modernizations/Alternations/Upgradings:

- 1) Full comprehensive service and repair coverage shall be included under the terms of this agreement when equipment and/or component systems represented herein are modified or upgraded.
- 2) Such changes in equipment necessitating continuing full maintenance coverage may be initiated by the Owner under a separate voluntary extra cost upgrading agreement with or without this Contractor's permission or direct authorization and involvement before the work is performed.
- 3) All non-elective changes or modifications necessitated due to obsolescence, parts unavailability or the Contractor's inability to maintain these systems in accordance with the contract specifications shall be fully covered under this agreement regardless of application, method or cost assignment for the life of the contract.
- 4) Modernized or otherwise upgraded systems and parts thereof shall automatically be included under the terms of this full comprehensive agreement whether such components are specifically identified or not. The maintenance of which will take place after completion of manufacturer's warranty period and modernizing contractor's responsibility period as negotiated in the modernization contract.

8010 The contractor shall be responsible for repairing and/or replacing all electrical wiring, traveling cables and conductors extending to the elevator system from the circuit breakers and main line switches in machine rooms and from outlets in the hoistways. The circuit breakers and main line switches, together with main line fuses for same are excluded.

8010.5 Wire ropes will be renewed as often as necessary to maintain an adequate factor of safety and to equalize tension in all hoist ropes. The American Standard Practice for the inspection of elevators given in the Inspector's Manual A17.2-1985, or its latest revision (and its supplements), will be followed in determining when ropes should be replaced for either broken wire strands and/or crown wear. This includes the hoisting cables, the governor cable, and compensating cables.

8011 The contractor shall be responsible for keeping the exterior of the elevator machinery and any other parts of the equipment subject to rust, properly painted, and presentable at all times. The motor windings shall be treated as needed with proper insulating compound which has been approved by the motor manufacturer. Cleaning and refinishing of the interior of cars, walls and ceilings and exterior of hoistway doors and frames are excluded from this contract. The contractor shall maintain: hoistways, elevator pits, sump pump pits, machine rooms, and assigned elevator contractor work space in a clean orderly condition free of dirt and debris. Pits and machine spaces shall be kept dry at all times.

8011.5 Requirements for all Drawings & Prints

- The contractor shall maintain on the premises, all drawings and prints on each and every elevator.
- Up-to-date wiring diagrams detailing circuits of all electrical protective devices (see ASME A17.1 item 2.26.2) and critical operating circuits (see ASME A17.1 item 2.26.3) shall be available in the machine room. As such, an entire set of prints is not required. The prints shall be legible, and specific for the elevator. The diagram is to use commonly used symbols and labeling to allow the circuits to be easily read. In addition, wiring numbers, relay designations and wiring terminals are to be properly labeled to allow for the tracing of the circuit and to ensure that the circuits are not in violation for the usage of "jumpers". Hand drawn diagrams, if accurate, are permitted. The wiring diagrams must be available to both the elevator maintenance mechanic and the elevator inspector. As such, diagrams no longer will be permitted to be unavailable to the inspector due to being secured in a lock cabinet. If there are security issues, then the prints may be stored in a secured area with signage that directs the inspector to the location. The prints must be available on demand.
- The interiors of controllers and their components shall be cleaned when necessary to the accumulation of foreign matter that can interfere with the operation of the equipment.
- Temporary wiring and insulators or blocks in the armatures or poles of magnetically operated switches, contactors, or relays on equipment in service are prohibited.
- When jumpers are used during maintenance, repair, or testing, all jumpers shall be removed and the equipment tested prior to returning it to service. Jumpers shall not be stored in the machine space, hoistway, or pit.



- Control and operating circuits and devices shall be maintained in compliance with applicable Code requirements.
- These drawings and prints shall be available at all times for Erie County inspection and upon termination of the contract shall become the property of Erie County.

8012 Work in connection with this contract, as described in Article 7 Section 7001-7004 and Article 8 Section 8001 shall be performed during regular working hours for the trade. Twenty-four (24) hour call back service shall be provided seven days per week for all elevators and escalators, to locate and make adjustments and to provide uninterrupted elevator service as described in Article 7 Section 7005.

8013 The contractor shall not be required by this agreement, except as herein noted, to make renewals or repairs necessitated by proved negligence or misuse of the equipment by persons other than the contractor, his representative and employees, or by reason of any other proved cause beyond the control of the contractor, except ordinary wear and tear.

The contractor will be responsible for the repair or replacement of damaged hoistway and elevator door closure mating surface parts and door closure protective devices regardless of cause, except, as where caused by malicious acts, riot, or other irresponsible behavior, as determined by the County of Erie engineer. The contractor shall not be required by this agreement to install new attachments recommended by or directed by insurance companies, federal, state or municipal, or other government authorities without additional compensation. It is agreed that the contractor will provide the County with an estimate, upon request, for the installation of new attachments not covered by this contract. Upon approval by the County, the contractor may proceed with such installations with billing to be made separately from this contract.

8014 No charges shall be assessed to the County of Erie for any examination, adjustments, repairs, or replacements that may occur due to normal wear and tear during the life of the contract. At least ninety (90) days prior to the expiration of the contract, a thorough 3<sup>rd</sup> party inspection at owner's expense, shall be made of all elevator equipment to determine its condition and performance, as to whether it is performing properly and at its highest peak of efficiency. Any defects found, or replacements required, as a result of this inspection shall be corrected by the contractor at his expense prior to the termination of the agreement.

8015 The standards and tolerances, for determining when adjustments and/or repairs or replacement parts shall be required, shall be provided as required by the approved Maintenance Control Program (MCP) including all additional requirements of the is specification. In any case not covered by specific provision in the above or in any case of discrepancy between provisions, the Commissioner of Public Works shall determine what action is required under this contract and the contractor shall comply therewith.

8016 BASIC OPERATING PERFORMANCE STANDARDS FOR INDIVIDUAL PASSENGER ELEVATORS  
The Contractor shall monitor and record the operating performance levels of all elevators per the minimum preventative maintenance procedures specified herein.

- (a) Door open cycle at two (2) FPM, subject to the manufacturer's design criteria.
- (b) Door close cycle at one (1) FPM per code.
- (c) Long door dwell - The non-interference door open duration time that car/corridor doors remain open in response to a corridor call shall be set in accordance with ADA standard 4.10.7.
- (d) Short door dwell - The non-interference door open duration time that car/corridor doors remain open in response to a car call shall be set in accordance with ADA standard 4.10.8.
- (e) Nudging: Reduced door closing speed and force operation initiated in conjunction with an audible signal after an extended door protection device interruption. Set @ 20 to 22 seconds.
- (f) Door close pressure - Measured using compression spring gauge after approximately 1/3 to 1/2 total travel distance of clear opening to determine stall pressure @ maximum 30 lbs. per AI 7.1 code.
- (g) Leveling accuracy @ sill to sill variance measured at multiple landings under varying load condition in both directions of travel maximum 1/2 inches per ADA standards.
- (h) Car speed shall be maintained at  $\pm 5\%$  fpm or original design speed.

## **ARTICLE 9 COUNTY RIGHT OF INSPECTION**

9001 There shall be at least annually, joint inspections during the period of this contract carried out by representatives of the County of Erie and the contractor. These inspections shall be at times mutually agreed upon, except that one shall be held approximately ninety (90) days prior to the termination of the contract. The County reserves the right to retain an independent 3<sup>rd</sup> party inspection service to represent the County for the annual, five year, and contract termination inspections as noted in Section 9005 "Requirements for Inspections & Tests".

9002 The contractor must maintain at all times the standard of efficiency, safety, capacity, and speeds of the elevators as designed and installed by the manufacturer, including acceleration, retardation, contract speed in feet per minute, with or without full load, floor-to-floor time, and door opening and closing time. Original installation contract standards are maintained on file and are available for inspection in the Erie County DPW Elevator Review Department, 45 Oak Street, Buffalo, NY 14203. It is the intent that interrupted elevator service and depreciation shall be kept to an absolute minimum through an adequate maintenance program including complete replacement of worn parts with genuine identical new parts before failure of the worn parts occurs.

9003 The contractor must own and have available, within the County of Erie locale, at all times for immediate use, a complete set of pertinent elevator maintenance and repair tools and instruments. These tools and instruments shall include the following but are not limited to such:

- a. Turning tools capable of turning any of the hoisting machine commutators on the job site.
- b. Generator turning tools capable of turning the commutator of any of the generator sets without removing rotating elements from the generator.
- c. Machine tools capable of turning main motor drive sheave grooves on the machine.
- d. Electronic test instruments capable of checking all electrical circuits of the elevator equipment on a prologue registered scope.

9004 The contractor shall supply and maintain on the premises a log of records of arrival and departure times of all contractor employees. The log shall contain columnar line entries for date, employee position classification, employee name, time of arrival, time of departure, and hours worked. Entries shall be maintained current. This log shall be available for periodic review by the Commissioner of Public Works or his designee. This log, upon termination of the contract shall become the property of the County of Erie.

### **9005 REQUIREMENTS FOR INSPECTIONS & TESTS**

#### **Safety Inspections and Tests:**

All service and repair work shall be performed in compliance with the American National Standard Safety Code for Elevators, Dumbwaiters, Escalators, and Moving Walks, ANSI A17.1 and it's supplements (or most current edition) and shall be subject to safety inspection by the Department of Public Works or it's representative. "Periodic" inspection of the elevators as required by the ASME A17.2 Code shall be performed by the QEI Inspector contracted by the county. The contractor (Maintenance Company) shall provide the personnel who are familiar with the equipment to perform tests. The contractor shall periodically examine and test all safety devices.

He shall make formal safety tests and inspections annually as required and outlined in the ANSI A17.1 Code. The tests shall be conducted in the presence of a QEI certified inspector designated by the County of Erie. Tests performed annually, and for five (5) year periods will be scheduled to comply with the annual and five year intervals as specified in the ANSI Codes. It will be the responsibility of the contractor to determine when these tests are due and coordinate the tests and inspections with the designated inspection service representing the County of Erie. After completion of the required tests, the contractor will submit to the Division of Buildings & Grounds or appropriate County agency, documentation indicating at least the following information as applicable. The document may be the contractor's standard form:

1. Type of test.
2. Name of organization performing the test.
3. Address of the facility being tested.
4. Elevator identification No.
5. Car capacity.
6. Speed
7. Type of elevator.
8. Type of machine.

9. Manufacturer of Safety.
10. Type of safety.
11. Indication that governor has been checked for proper tripping speed and that the overspeed switch is functional.
12. Load at which safety was tested.
13. Speed at which governor was tripped.
14. Length of marks on each guide rail made by safety jaws.
15. Number of turns remaining on the drum.
16. Did car or counterweight set level?
17. Did the governor set satisfactorily?
18. Was the governor calibrated? At what speed?
19. Was the safety test satisfactory?
20. At what speed and load were the buffers tested?
21. Was the oil level satisfactory after test?
22. Indicate plunger compression return time.
23. Indicate the date test was performed.
24. Signature of individual performing test.
25. Any additional remarks that are applicable.
26. Name and Certification Number of the elevator inspector witnessing the tests.

## 9006 INSPECTIONS AND TESTS FOR ELECTRIC TRACTION ELEVATORS

The following tests shall, as a minimum be performed by the Contractor at the frequencies indicated. The Contractor shall test and inspect all items.

### 1) Annual No Load Test and Inspection

All applicable tests and inspections in accordance with ASME A17.1 Section 1002, periodic inspections and tests of Electric Traction Elevators shall be performed by the Contractor.

Compensation to the Contractor for the annual tests is included in the Maintenance Base Price.

### 2) Five Year Full Load Inspection and Test - Traction Units:

A **Full Load** safety test of the safety mechanism and the governor will be performed every fifth year. If this test has not been performed within the last five years, it must be performed within the first 30 days of this agreement. In the years that full load tests were not performed, an annual no-load test will be performed on the elevators. On traction units with oil buffers, the test will be performed at the frequency prescribed in the ANSI Code.

All applicable tests and inspections in accordance with ASME A17.1 Section 1002.3 shall be performed by the Contractor. Compensation to the Contractor for the five year tests is included in the Maintenance Base Price.

### 3) Annual Hydraulic Safety Test and Inspection - Hydraulic Elevators:

All applicable tests and inspections in accordance with ASME A17.1 Section 1005, periodic inspections and tests of hydraulic elevators shall be performed by the Contractor.

Due to inherent safety and environmental risks associated with failures of in-ground single bottom hydraulic cylinders, it is required that in the course of regular elevator maintenance and inspection, hydraulic oil levels must be monitored closely and documented. Any loss of hydraulic oil must be reported to the owner for appropriate action and properly documented in an oil usage log.

### 4) Five Year Inspection and Tests

The five (5) year Inspection and Testing, as required by the ANSI/ASME A17.1, shall be performed by the contractor at a mutually agreeable time as the tests become due, at no time exceeding 60 months between tests. It is the contractor's responsibility to ensure that elevators are Inspected and Tested on a timely basis per the Code, and that all equipment is in proper operating condition before tests are conducted. All elevator testing shall be performed after normal working hours and on weekends at no additional cost to the County of Erie so as not to disrupt elevator service to building occupants. The contractor will coordinate the exact time of testing with the building Chief Engineer and the inspection service representing the County.

5) Prompt Correction of Deficiencies

The contractor shall be responsible for the prompt correction within forty-eight (48) hours of all deficiencies noted during an inspection and/or testing. Any deficiencies that are deemed an "emergency" shall be corrected immediately. Upon completion of the deficiencies, the contractor shall sign and date the inspection report and return it to the building engineer and the main office of the appropriate County agency managing the building. The contractor will then make arrangements for re-inspection with the building Chief Engineer and the inspection service representing the County.

9007 Contract Closeout Examination

- a. On a date generally not later than ninety (90) calendar days prior to the expiration of the contract, the contractor will coordinate with the building Chief Engineer, and the inspection service representing the County to conduct a complete and systematic examination of the units covered by this contract. This examination may be included at the time of the annual examination as noted in Section 9001.
- b. The Dept. of Public Works, Buildings & Grounds Division or applicable agency's site manager, will prepare an "Existing Deficiency Report" listing all deficiencies noted during the examination, and not later than ten (10) working days following the examination, furnish a copy of the report to the contractor.
- c. The contractor will, before the expiration of the contract, correct all deficiencies noted in the "Existing Deficiency Report" that are covered by this contract.

Changes in ASME A17.1 Code

The contractor shall notify the County contracting officer of any changes in the ASME A17.1 Code during the term of the contract. These changes shall be incorporated into the contract by contract modification, and an equitable price adjustment made, if appropriate.

9008 The County of Erie reserves the right to make inspections and tests at any time when deemed advisable, to ascertain that the requirements of these specifications are being fulfilled. Should it be found that the standards herein specified are not being satisfactorily maintained, the County of Erie may immediately demand that the contractor place the elevators in condition to meet these requirements. If the contractor fails to comply with such demands, within a reasonable time, the County of Erie may by written notice to the contractor terminate his right to proceed further with the work. In such event, the County of Erie may take over the work and prosecute it to completion by contract or otherwise, and the contractor or their sureties (if any) shall be liable to the County of Erie for any excess cost occasioned.

9009 The County of Erie may terminate the contract if it deems that the contract is not satisfactorily performed in accordance with the standards herein specified. In the event of such termination, the County of Erie may refuse to award future contracts to such contractor.

**ARTICLE X PRESENCE of ASBESTOS**

10001 The contractor is advised that if an inspection of the Southtowns AWRRF indicates the presence of asbestos containing building materials (ACBM). Contractor must obtain a work permit approved by the Asbestos Program Manager which will specify work practices and/or safety measures to be followed. Since it is possible that such asbestos containing material (ACM) may be disturbed, it is recommended that the contractor's personnel receive proper appropriate asbestos training to insure compliance with applicable federal, state and local regulations.

## PRICING SHEET

**Southtown's AWRRF**  
**S-3690 Lake Shore Rd, Buffalo NY**

<u>Elevator #</u>	<u>Type</u>	<u>Manu.</u>	<u>Monthly Cost</u>	<u>Annual Cost</u>
1	Pass./Hyd.	Otis	_____	_____
2	Freight/Hyd.	Otis	_____	_____

### ON CALL SERVICE

Include price for hourly labor/travel rates and material markups for any work that may be required which is outside the scope of the above specifications.

Hourly Billing Rate : \$\_\_\_\_\_ /Hour

Materials Cost + \_\_\_\_\_% (materials invoices must be provided)

**NAME OF BIDDER** \_\_\_\_\_

**County of Erie**  
**DIVISION OF PURCHASE**  
**INSTRUCTIONS TO BIDDERS (FORMAL)**

1. BID SHALL BE SUBMITTED ON THESE COUNTY OF ERIE BID FORMS or bid will not be considered. Bid must be typed or printed in ink. Original autograph signatures in ink are required. Facsimile or rubber stamp signatures will not be accepted. ALL PAGES OF THIS BID DOCUMENT MUST BE RETURNED INTACT.

2. LATE PROPOSALS. Any bids received in the Erie County Division of Purchase after the date and time prescribed will not be considered for contract award.

3. EMERGENCY CLOSINGS. In the event the closing of certain County facilities and/or operations and/or services due to any flood, fire, fire drill, power failure, uncontrolled weather conditions or other cause beyond the Division of Purchase control, only bids received in the Division of Purchase prior to the date and time or postmarked as of the date prescribed will be considered for contract award.

4. ANY CHANGE IN WORDING OR INTERLINEATION BY A BIDDER OF THE INQUIRY AS PUBLISHED BY THE COUNTY OF ERIE shall be reason to reject the proposal of such bidder, or in the event that such change in the Invitation to Bid is not discovered prior to entering into a contract, to void any contract entered into pursuant to such bid.

5. THE COUNTY RESERVES THE RIGHT TO REJECT any and all bids, to accept either in whole or in part any one bid or combination of bids, as may be provided in the bid specifications, or to waive any informalities in bids. The County does not obligate itself to accept the lowest or any other proposal.

6. AWARD TO THE LOWEST RESPONSIBLE BIDDER. For the purpose of determining which bidder is the lowest qualified responsible bidder, it shall be the lowest three bidders' responsibility, within FIVE DAYS of being so notified by the Division of Purchase, to present information and documentation to the Division of Purchase, to satisfy the County that the bidder possesses sufficient capital resources, skill, judgment and experience to perform the work or deliver the material, as per bid specifications.

7. CONTRACT(S) OR PURCHASE ORDER(S) WILL BE AWARDED after due consideration of the suitability of goods and/or services bid to satisfy these specifications, the total cost of such goods and/or services including all cost elements, and the timeliness of the agreed upon delivery date.

8. This EXECUTORY CLAUSE shall be a part of any agreement entered into pursuant to this bid:

IT IS UNDERSTOOD BY THE PARTIES THAT THIS AGREEMENT SHALL BE EXECUTORY ONLY TO THE EXTENT OF THE MONIES AVAILABLE TO THE COUNTY OF ERIE AND APPROPRIATED THEREFOR, AND NO LIABILITY ON ACCOUNT THEREOF SHALL BE INCURRED BY THE COUNTY BEYOND THE MONIES AVAILABLE AND APPROPRIATED FOR THE PURPOSE THEREOF.

9. FAILURE TO MEET DELIVERY SCHEDULE as per accepted bid may result in legal action by the County of Erie to recover damages.

10. PRICES SHALL BE QUOTED F.O.B. DESTINATION AND DELIVERED INSIDE. "Tailgate delivery" will not be accepted unless specified by the County.

11. COLLECT TRANSPORTATION CHARGES WILL NOT BE PAID BY THE COUNTY. All freight, cartage, rigging, postage or other transportation charges shall be prepaid and included in the bid. There will be no additional charges for delivery.

12. NO TAXES ARE TO BE BILLED TO THE COUNTY. Bids shall not include any Federal, State, or local excise, sales, transportation, or other tax, unless Federal or State law specifically levies such tax on purchases made by a political subdivision. The County of Erie Purchase Order is an exemption certificate. Any applicable taxes from which the County is not exempt shall be listed separately as cost elements, and added into the total net bid.

13. THE SUCCESSFUL BIDDER shall comply with all laws, rules, regulations and ordinances of the Federal Government, the State of New York and any other political subdivision of regulatory body which may apply to its performance under this contract.

## County of Erie

### DIVISION OF PURCHASE

14. GRATUITIES, ILLEGAL OR IMPROPER SCHEMES. The County may terminate this agreement if it is determined that gratuities in the form of entertainment, gifts or otherwise were offered or given by a vendor, his agent or representative to any County official or employee with a view towards securing favorable treatment with respect to the awarding of this bid or the performance of this agreement. The County may also terminate this agreement if it is determined that the successful bidder engaged in any other illegal or improper scheme promotive of favoritism or unfairness incidental to the bidding process or the performance of this agreement. In the event that it is determined that said improper or illegal acts occurred, the County shall be entitled to terminate this agreement and/or exercise any other remedy available to it under existing law.

15. INSURANCE shall be procured by the Successful Bidder before commencing work, no later than 14 days after notice of award and maintained without interruption for the duration of the Contract, in the kinds and amounts specified in Exhibit IC, unless otherwise stipulated in these Bid Specifications. IF THE INSURANCE IS NOT PROVIDED IN ACCEPTABLE FORM WITHIN THIS PERIOD OF TIME, THEN THE DIRECTOR OF PURCHASE MAY DECLARE THE VENDOR NONRESPONSIVE AND AWARD THE CONTRACT TO THE NEXT LOW RESPONSIBLE BIDDER.

CERTIFICATES OF INSURANCE shall be furnished by the successful bidder on Erie County Standard Insurance Certificate, Exhibit IC.

16. ANY CASH DISCOUNT which is part of bid will be considered as a reduction in the bid prices in determining the award of the bid. Date of invoice must not precede date of delivery. The County policy is to pay all claims in a timely manner within the specified time. However, if for some reason payment is delayed, the County will take the discount when payment is made. The County will not pay any interest charges, nor refund discount amounts taken after the discount period. If this is unsatisfactory, please quote net.

17. CHANGES IN THE WORK. The County may, as the need arises, through the Director of Purchase, order changes in the work through additions, deletions, or modifications without invalidating the contract. Compensation, as it may be affected by any change, shall be adjusted by agreement between the contractor and County through the Director of Purchase.

18. BID OFFERING MATERIAL OTHER THAN THAT OF SPECIFIED MANUFACTURER OR TRADE NAME will be considered unless stated otherwise. The use of the name of a particular manufacturer, trade name, or brand in describing an item does not restrict a bidder to that manufacturer or specific article. However, the substituted article on which a proposal is submitted must be of such character or quality that it would serve the purpose for which it is to be used equally well as the manufacturer or brand specified. Proposals will be accepted in accordance with specifications on file or approved equal.

19. IF MATERIAL OR SERVICES OTHER THAN THOSE SPECIFIED IN THIS BID DOCUMENT ARE OFFERED, the bidder must so state and furnish at the time of bid opening, if so requested, and as part of his bid the following information in duplicate:

- (a) Complete description of the item offered, and detailed explanation of the differences between the item specified and the item offered. If, in the opinion of the Division of Purchase, sufficient detail is not presented as a part of the sealed bid to permit definitive evaluation of any substitute item, the bid will not be considered.
- (b) Descriptive literature of item offered, for evaluation.
- (c) List of installations in Erie County of the item offered.
- (d) List of other installations.

20. ANY ADDITIONAL INFORMATION for which bidder desires to add to the bid shall be written on a separate sheet of paper, attached to and submitted with the formal sealed bid, to be read at the formal opening.

21. WORKMANSHIP MUST MEET WITH THE APPROVAL OF THE DEPARTMENT HEAD(S) INVOLVED, AND SHALL BE FIRST CLASS in every respect without exception and shall be equal to the best modern practices. Materials furnished are to be new and unused. All materials furnished or work performed are to be guaranteed free from defects. Anything found defective or not meeting specifications, no matter in what stage of completion, may be rejected and shall be made good by the contractor at his own expense.

22. CONTRACTOR SHALL CLEAN UP and remove all debris and rubbish resulting from the work and leave the premises broom clean to the approval of the department head.

## County of Erie

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23. THIS BID IS FIRM AND IRREVOCABLE for a period of 45 days from the date and time of the bid opening. If a contract is not awarded within the 45-day period, a bidder to whom the bid has not been awarded, may withdraw his bid by serving written notice of his intention to do so upon the Division of Purchase. Upon withdrawal of the bid pursuant to this paragraph, the Division of Purchase will forthwith return the bidder's security deposit.

24. PRICES CHARGED TO THE COUNTY OF ERIE are to be no higher than those offered to any other governmental or commercial consumer. If a bidder has a New York State or a Federal GSA contract for any of the items covered in this bid or any similar items, he shall so indicate that he has said contract on these bid papers and automatically supply a copy of this contract within five days after notification of award.

25. PRICE IS FIRM. The unit prices bid shall remain firm, and any other charges bid shall also remain firm, for delivery of the equipment, material, work, or services described in this bid. No cost increase shall be charged for any reason whatsoever.

26. EXTENSION OF PRICE PROTECTION. Any contract entered into pursuant to this bid to supply the County's requirements of goods and/or services for a definite period of time as stated in the attached specifications may be extended for not more than two successive periods of equal length at the same bid price upon the mutual agreement of the successful bidder and the County. All extensions shall be submitted in writing and shall have prior approval by the County of Erie, Director of Purchase.

27. IN EXECUTING THIS BID, THE BIDDER AFFIRMS that all of the requirements of the specifications are understood and accepted by the bidder, and that the prices quoted include all required materials and services. The undersigned has checked all of the bid figures, and understands that the County will not be responsible for any errors or omissions on the part of the undersigned in preparing this bid. Mistakes or errors in the estimates, calculations or preparation of the bid shall not be grounds for the withdrawal or correction of the bid or bid security. In case of error in extension of prices in the bid, the unit price will govern.

28. ACCOUNTABILITY. The undersigned shall be fully accountable for his or its performance under this bid, or any contract entered into pursuant to this bid, and agrees that he, or its officers, will answer under oath all questions relevant to the performance thereof and to any transaction, act or omission had, done or omitted in connection therewith if called before any Judicial, County or State officer or agency empowered to investigate the contract or his performance.

29. TERMINATION OF CONTRACT:

a. At its option, the County may at any time for any reason terminate this agreement and the Contractor shall immediately cease all work under the agreement upon receipt of written notice of such termination from the County.

b. In the event of termination for any reason other than the fault of the Contractor, or the nonavailability of funds as provided in the above Executory Clause, the Contractor shall be paid the amount due to date of termination, and all reasonable expenses caused by such termination.

30. THE SUCCESSFUL BIDDER TO WHOM THE BID IS AWARDED SHALL INDEMNIFY AND HOLD HARMLESS the County of Erie and its agents and employees from and against all claims, damages, losses or causes of action arising out of or resulting from such vendor's performance pursuant to this bid.

31. STATUS AS AN INDEPENDENT CONTRACTOR: The successful Bidder to whom the bid is awarded and the County agree that the Bidder and its officers, employees, agents, contractors, subcontractors and/or consultants are independent contractors and not employees of the County or any department, agency or unit thereof. In accordance with their status as independent contractors, the Bidder covenants and agrees that neither the Bidder nor any of its officers, employees, agents, contractors, subcontractors and/or consultants will hold themselves out as, or claim to be, officers or employees of the County or any department, agency or unit thereof.

32. GOVERNED BY NEW YORK LAW: This Agreement shall be construed and enforced in accordance with the laws of the State of New York. In addition, the parties hereby agree that for any cause of action arising out of this Agreement shall be brought in the County of Erie.

(Rev. 04/09)



# County of Erie

## DIVISION OF PURCHASE

To facilitate correct drawing and execution of contract, bidder shall supply full information concerning legal status:

FIRM NAME \_\_\_\_\_

ADDRESS OF PRINCIPAL OFFICE STREET \_\_\_\_\_

CITY \_\_\_\_\_

AREA CODE \_\_\_\_\_ PHONE \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

Check one: CORPORATION \_\_\_\_\_ PARTNERSHIP \_\_\_\_\_ INDIVIDUAL \_\_\_\_\_

INCORPORATED UNDER THE LAWS OF THE STATE OF \_\_\_\_\_

If foreign corporation, state if authorized to do business in the State of New York:

YES \_\_\_\_\_ NO \_\_\_\_\_

TRADE NAMES: \_\_\_\_\_

ADDRESS OF LOCAL OFFICE STREET \_\_\_\_\_

CITY \_\_\_\_\_

AREA CODE \_\_\_\_\_ PHONE \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

NAMES AND ADDRESSES OF PARTNERS:

_____	_____
_____	_____
_____	_____
_____	_____



# County of Erie

MARK C. POLONCARZ  
COUNTY EXECUTIVE

DIVISION OF PURCHASE

## **ASSIGNMENT OF PUBLIC CONTRACTS**

### GENERAL MUNICIPAL LAW - Section 109:

1. A clause shall be inserted in all specifications of contracts hereafter made or awarded by an officer, board or agency of a political subdivision, or any district therein, prohibiting any contractor, to whom any contract shall be let, granted or awarded, as required by law, from assigning, transferring, conveying, subletting or otherwise disposing of the same, or of his right, title or interest therein, or his power to execute such contract, to any other person or corporation without the previous consent in writing of the officer, board or agency awarding the contract.
2. If any contractor, to whom any contract is let, granted, or awarded, as required by law, by any officer, board or agency of a political subdivision, or of any district therein, without the previous written consent specified in subdivision one (1) of this section, assign, transfer, convey, sublet or otherwise dispose of such contract, or his right, title or interest therein, or his power to execute such contract to any other person or corporation, the officer, board or agency which let, made, granted or awarded such contract shall revoke and annul such contract, and the political subdivision or district therein, as the case may be, and such officer, board or agency shall be relieved and discharged from all liability and obligations growing out of such contract to such contractor, and to the person or corporation to which such contract shall have been assigned, transferred, conveyed, sublet or otherwise disposed of, and such contractor, and his assignee, transferee or sublessee shall forfeit and lose all monies, theretofore earned under such contract, except so much as may be required to pay his employees. The provisions of this section shall not hinder, prevent or affect any assignment by any such contractor for the benefit of his creditors made pursuant to the laws of the State.

NO ASSIGNMENT OF ANY AGREEMENT pursuant to this bid shall be made without specific prior approval, in writing, by the Erie County Director of Purchase.

(Rev. 12/01/93)



# COUNTY OF ERIE

## DIVISION OF PURCHASE

### PURCHASES BY OTHER LOCAL GOVERNMENTS OR SPECIAL DISTRICTS

The Erie County Legislature has adopted the following resolution for the purpose of allowing the following-named local governmental or school districts to make purchases through the County bidding procedures.

Under the following conditions, the Director of Purchase may make purchasing services available to the following 88 participants:

1. When in the opinion of the Director of Purchase it will not create any burden or hardship upon the County and the anticipated prices will not be adversely affected thereby, the Director is authorized when he deems appropriate and as may be requested by the participants to provide in any particular County bid specification that the participants in Erie County shall have the right to make purchases based upon the bids received by the County.
2. The County Purchase Director, within the limits of his time and manpower, shall disseminate relevant contract information to the participants.
3. The participants in County contracts will issue purchase orders directly to vendors within the specified contract period referencing the County contract involved and be liable for any payments due on such purchase orders.

Bidders shall take notice that as a condition of the award of a County contract pursuant to these specifications, the successful bidder agrees to accept the award of a similar contract with any of the participants in Erie County if called upon to do so. The County, however, will not be responsible for any debts incurred by participants pursuant to this or any other agreement.

Necessary deviations from the County's specifications in the award of a participant's contract, particularly as such deviations may relate to quantities or delivery point, shall be a matter to be resolved between the successful bidder and participants. All inquiries regarding prospective contracts shall be directed to the attention of:

AKRON CENTRAL SCHOOL DISTRICT, District Clerk, 47 Bloomingdale Ave., Akron, NY 14001  
 AKRON VILLAGE OF, Clerk-Treasurer, 21 Main St., Akron, NY 14001  
 ALDEN CENTRAL SCHOOL DISTRICT, District Clerk, 13190 Park St., Alden, NY 14004  
 ALDEN TOWN OF, Town Clerk, Town Hall, 11901 Broadway, Alden, NY 14004  
 ALDEN VILLAGE OF, Village Clerk, 13336 Broadway, Alden, NY 14004  
 AMHERST CENTRAL SCHOOL DISTRICT, Business Manager, 4301 Main St., Amherst, NY 14226  
 AMHERST TOWN OF, Highway Superintendent, Town Hall, 5583 Main St., Williamsville, NY 14221  
 AMHERST TOWN OF, Town Supervisor, Town Hall, 5583 Main St., Williamsville, NY 14221  
 ANGOLA VILLAGE OF, Clerk-Treasurer, 41 Commercial St., Angola, NY 14006  
 AURORA TOWN OF, Town Clerk, Town Hall, 5 S. Grove St., E. Aurora, NY 14052  
 BLASDELL VILLAGE OF, Clerk-Treasurer, 121 Miriam St., Blasdell, NY 14219  
 BOCES, ERIE #1, Clifford N Crooks Svc. Ctr., 355 Harlem Rd. West Seneca NY 14224-1892  
 BOCES, ERIE CATTARAUGUS #2, Assistant Superintendent, 3340 Baker Rd., Orchard Park, NY 14127  
 BOSTON TOWN OF, Town Clerk, Town Hall, 8500 Boston State Rd., Boston, NY 14025  
 BRANT TOWN OF, Town Clerk, Town Hall, Brant North Collins Rd., Brant, NY 14027  
 BUFFALO BOARD OF EDUCATION, Purchasing Agent, 408 City Hall, Buffalo, NY 14202  
 BUFFALO CITY OF, Division of Purchasing, 1901 City Hall, Buffalo, NY 14202  
 BUFFALO MUNICIPAL HOUSING AUTHORITY, 300 Perry St., Buffalo, NY 14204-2299  
 BUFFALO SEWER AUTHORITY, General Manager, 1038 City Hall, Buffalo, NY 14202-3378  
 CHEEKTOWAGA CENTRAL SCHOOL DISTRICT, 3600 Union Rd., Cheektowaga, NY 14225  
 CHEEKTOWAGA-MARYVALE UNION FREE SCHOOL DISTRICT, District Clerk, 1050 Maryvale Dr., Cheektowaga, NY 14225-2386  
 CHEEKTOWAGA-SLOAN UNION FREE SCHOOL DISTRICT, District Clerk, 166 Halstead Ave., Sloan, NY 14212-2295  
 CHEEKTOWAGA TOWN OF, Town Hall, Broadway & Union Rds., Cheektowaga, NY 14227  
 CLARENCE CENTRAL SCHOOL DISTRICT, Business Administrator, 9625 Main St., Clarence, NY 14031-2083  
 CLARENCE TOWN OF, Town Clerk, 1 Town Place, Clarence, NY 14031  
 CLEVELAND HILL FIRE DISTRICT NO. 6, Secretary, 440 Cleveland Dr., Cheektowaga, NY 14225  
 CLEVELAND HILL U.F.S.D. @ CHEEKTOWAGA, Business Manager, 105 Mapleview Dr., Cheektowaga, NY 14225

COLDEN TOWN OF, Deputy Town Clerk, Town Hall, S-8812 State Rd., Colden, NY 14033  
 COLLINS TOWN OF, Supervisor, Town Hall, P.O. Box 420, Collins, NY 14035  
 CONCORD TOWN OF, Town Clerk, Town Hall, Springville, NY 14141-0187  
 DEPEW UNION FREE SCHOOL DISTRICT, District Clerk, 591 Terrace Blvd., Depew, NY 14043  
 DEPEW VILLAGE OF, Village Clerk, Municipal Building, 85 Manitou St., Depew, NY 14043  
 EAST AURORA VILLAGE OF, Village Clerk, Village Hall, 571 Main St., East Aurora, NY 14052  
 EDEN TOWN OF, Town Clerk, 2795 East Church St., Eden, NY 14057  
 EGGERTSVILLE FIRE DISTRICT, Secretary/Treasurer, 1880 Eggert Rd., Eggertsville, NY 14226-2233  
 ELLWOOD FIRE DISTRICT #1, Secretary, Town of Tonawanda, 1000 Englewood Ave., Kenmore, NY 14223  
 ELMA TOWN OF, Town Clerk, Town Hall, 1600 Bowen Rd., Elma, NY 14059  
 ERIE COUNTY MEDICAL CENTER, 462 GRIDER STREET, BUFFALO, NY 14215  
 ERIE COMMUNITY COLLEGE, South Campus Business Office, 4041 Southwestern Blvd., Orchard Park, NY 14127-2199  
 ERIE COUNTY WATER AUTHORITY, Central Processing, 3030 Union Rd., Buffalo, NY 14227  
 EVANS TOWN OF, Town Clerk, 42 N. Main St., Angola, NY 14006  
 FARNHAM VILLAGE OF, Village Clerk-Treasurer, 526 Commercial St., Farnham, NY 14061  
 FORKS FIRE DISTRICT #3, Commissioner, Town Cheektowaga, 3330 Broadway, Cheektowaga, NY 14227  
 GOWANDA VILLAGE OF, Clerk/Treasurer, 27 East Main St., Gowanda, NY 14070  
 GRAND ISLAND CENTRAL SCHOOL DISTRICT, District Clerk, 1100 Ransom Rd., Grand Island, NY 14072  
 GRAND ISLAND TOWN OF, Town Clerk, 2255 Baseline Rd., Grand Island, NY 14072  
 HAMBURG TOWN OF, Town Clerk, S-6100 S. Park Ave., Hamburg, NY 14075  
 HAMBURG VILLAGE OF, Village Clerk/Treasurer, 100 Main St., Hamburg, NY 14075  
 HOLLAND FIRE DISTRICT #1, Town of Holland, Holland, NY 14080  
 HOLLAND TOWN OF, Town Clerk, 47 Pearl St., Holland, NY 14080  
 HOPEVALE UNION FREE SCHOOL DISTRICT, District Clerk, 3780 Howard Rd., Hamburg, NY 14075  
 IROQUOIS CENTRAL SCHOOL DISTRICT, Girdle Rd., Elma, NY 14059  
 KENILWORTH FIRE DISTRICT #2, Commissioner, Tn. Tonawanda, 84 Hawthorne Ave., Buffalo, NY 14223  
 KENMORE-TN OF TONAWANDA UNION FREE SCHOOL DISTRICT, District Clerk, 1500 Colvin Blvd., Buffalo NY 14223  
 KENMORE VILLAGE OF, Village Clerk-Treasurer, Municipal Building, Kenmore, NY 14217  
 LACKAWANNA CITY OF, City Clerk, Lackawanna City Hall, 714 Ridge Rd., Lackawanna, NY 14218  
 LAKE VIEW FIRE DISTRICT, Fire Commissioner, Lakeview & Burke Roads, Lake View, NY 14085  
 LANCASTER TOWN OF, Town Clerk, 21 Central Avenue, Lancaster, NY 14086  
 LANCASTER VILLAGE OF, Clerk-Treasurer, Municipal Building, 5423 Broadway, Lancaster, NY 14086  
 MARILLA TOWN OF, Marilla Town Hall, 1740 Two Rod Rd., Marilla, NY 14102  
 MONROE ONE BOCES, Educational Services, 41 O'Connor Rd., Fairport, NY 14450  
 NEWSTEAD TOWN OF, Town Clerk, Town Hall, P.O. Box 227, Akron, NY 14001  
 NIAGARA FRONTIER TRANSPORTATION AUTHORITY, 181 Ellicott St., Buffalo, NY 14205  
 NORTH COLLINS TOWN OF, Town Clerk 2015 Spruce St., North Collins, NY 14111  
 NORTH COLLINS VILLAGE OF, Village Clerk, 10543 Main St., North Collins, NY 14111  
 ORCHARD PARK CENTRAL SCHOOL DISTRICT, Asst. Supt. Bus. & Support Svcs. 3330 Baker Rd., Orchard Park, NY 14127  
 ORCHARD PARK TOWN OF, Town Clerk, Municipal Bldg., 4295 S. Buffalo St., Orchard Park, NY 14127  
 ORCHARD PARK VILLAGE OF, Clerk, Municipal Bldg., 4295 S. Buffalo St., Orchard Park, NY 14127  
 SARDINIA TOWN OF, Town Clerk, Town Hall, Savage Rd., Sardinia, NY 14134  
 SHERIDAN PARK FIRE DISTRICT NO. 4, Secretary, 738 Sheridan Dr., Tonawanda, NY 14150  
 SLOAN VILLAGE OF, Clerk Treasurer, 425 Reiman St., Sloan, NY 14212  
 SNYDER VOL. FIRE DEPT., Fire Commissioner, 4531 Main Street, Snyder, NY 14226  
 SOUTH LINE FIRE DISTRICT #10, Fire Commissioner, 1049 S. French Rd., S. Cheektowaga, NY 14227  
 SOUTH WALES FIRE DISTRICT #1, Secretary/Treasurer, P.O.Box 94, South Wales, NY 14139  
 SPRING BROOK FIRE DISTRICT #1, Secretary, P.O. Box 97, Spring Brook, NY 14140  
 SPRINGVILLE VILLAGE OF, Clerk Treasurer, Village Office, 5 W. Main St., Springville, NY 14141  
 SUNY ERIE COMMUNITY COLLEGE, 6205 Main St., Williamsville, NY 14221  
 SWEET HOME CENTRAL SCHOOL DISTRICT, Director Finance & Plant Svcs., 1901 Sweet Home Rd., Amherst, NY 14228  
 TONAWANDA CITY OF, Mayor, 200 Niagara St., Tonawanda, NY 14150  
 TONAWANDA CITY OF, Superintendent, 150 Fillmore Avenue, Tonawanda, NY 14150  
 TONAWANDA CITY SCHOOL DISTRICT, District Clerk, 100 Hinds St., Tonawanda, NY 14150-1815  
 TONAWANDA TOWN OF, Town Clerk, Municipal Building, Kenmore, NY 14217  
 U-CREST FIRE DISTRICT #4, Fire Commissioner, 255 Clover Place, Cheektowaga, NY 14225  
 UNION FREE SCHOOL DISTRICT, Dist. Clerk, Tn. Tonawanda, 1500 Colvin Blvd., Kenmore, NY 14223  
 WALDEN FIRE DISTRICT #2, Fire Commissioner, 20 Pine Ridge Road, Cheektowaga, NY 14211  
 WALES TOWN OF, Town Clerk, Big Tree Rd., Wales Center, NY 14169  
 WEST SENECA CENTRAL SCHOOL DISTRICT, District Treasurer, 1397 Orchard Park Rd., West Seneca, NY 14224-4098  
 WEST SENECA FIRE DISTRICT #4, Fire Commissioner, 100 Lein Rd., West Seneca, NY 14224  
 WEST SENECA FIRE DISTRICT #5, Fire Commissioner, 2801 Seneca St., West Seneca, NY 14224  
 WEST SENECA TOWN OF, Town Clerk, 1250 Union Road, West Seneca, NY 14224  
 WILLIAMSVILLE CENTRAL SCHOOL DISTRICT, District Clerk, 105 Casey Rd, PO Box 5000, East Amherst NY 14051  
 WILLIAMSVILLE VILLAGE OF, 5565 Main St., Williamsville, NY 14231-1557  
 WYOMING, COUNTY OF, Office of the Board of Supervisors, 143 N Main St., Warsaw, NY 14569

### Erie County Equal Pay Certification

In order to comply with Executive Order 13 dated November 6, 2014, we hereby certify that we are in compliance with federal law, including the Equal Pay Act of 1963, Title VII of the Civil Rights Act of 1964, Federal Executive Order 11246 of September 24, 1965 and New York State Labor Law Section 194 (together "Equal Pay Law"). We understand that this certification is a material component of this contract. Violation of the provisions of Executive Order 13, which is attached hereto and made a part hereof, can constitute grounds for the immediate termination of this contract and may constitute grounds for determining that a bidder is not qualified to participate in future county contracts.

We have evaluated wages and benefits to ensure compliance with the Equal Pay Law.

We certify that we have not been the subject of an adverse finding under the Equal Pay Law within the previous five years and, in the alternative, if we were the subject of an adverse finding under the Equal Pay Law within the previous five years, we have annexed a detailed description of the finding(s). In addition, we have annexed a detailed description of any currently pending claims under the Equal Pay Law in which we are involved.

\_\_\_\_\_  
Signature

### Verification

STATE OF \_\_\_\_\_ )  
COUNTY OF \_\_\_\_\_ ) SS:  
A)

\_\_\_\_\_, being duly sworn, states he or she is the owner of (or a partner in) \_\_\_\_\_, and is making the foregoing Certification and that the statements and representations made in the Certification are true to his or her own knowledge.

B)  
\_\_\_\_\_, being duly sworn, states that he or she is the Name of  
Corporate Officer \_\_\_\_\_, of

\_\_\_\_\_, Title of Corporate Officer Name of Corporation the enterprise making the foregoing Certification, that he or she has read the Certification and knows its contents, that the statements and representations made in the Certification are true to his or her own knowledge, and that the Certification is made at the direction of the Board of Directors of the Corporation.

Sworn to before me this \_\_\_\_\_  
Day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_

**COUNTY OF ERIE**  
**STANDARD INSURANCE REQUIREMENTS**  
Vendor Insurance Classification A: Contracts Involving Construction or Maintenance

1. The contractor shall obtain, at his own cost and expense, the following insurance coverages with insurance companies licensed in the State of New York and shall provide a certificate of insurance as evidence of such coverages on the County of Erie Standard Insurance Certificate.

- A. Commercial General Liability - with a minimum combined single limit of liability for Bodily Injury and Property Damage of \$1,000,000 per occurrence and \$2,000,000 general aggregate and \$2,000,000 Products – Completed Operation Aggregate. The coverage shall include:
  - Premises and Operations
  - Products and Completed Operations
  - Independent Contractors
  - Blanket Broad Form Contractual Liability (sufficient to cover all liability assumed under contracts with the County of Erie)
  - Broad Form Property Damage
  - Explosion, Collapse and Underground Hazards (x, c, u) must NOT be excluded.
- B. Automobile Liability - with a minimum combined single limit of liability for Bodily Injury and Property Damage of \$1,000,000 each occurrence. The coverage shall include Owned, Hired, and Non-Owned Autos (Symbol "1" should be designated for Liability coverage on the Business Auto Policy).
- C. Excess "Umbrella" Liability - with a minimum limit of \$5,000,000 each occurrence / \$5,000,000 aggregate.
- D. Worker's Compensation and Employer's Liability - providing statutory coverage in compliance with the Worker's Compensation Law of the State of New York (Form C-105.2).
- E. Disability Benefits - providing statutory coverage in compliance with the New York State Disability Benefits Law (Forms DB-120.1 or DB-155).

**Failure to maintain coverage herein shall constitute a material breach of this contract and the Contractor shall suspend all work immediately upon such lapse in coverage.**

2. Commercial General Liability, Automobile Liability and Excess "Umbrella" Liability shall name the County of Erie and any Board, Bureau, Commission or Agency thereof as additional insureds on ISO Form CG 2010 1185 Edition. Coverage should be provided on a primary and non-contributory bases. Designated Construction Project General Aggregate Limit Per Project Endorsement CG 2503 is required. Waiver of Subrogation is required on all lines in favor of Erie County.

3. All policies in which the County of Erie is named as an additional insured shall provide that:

- A. The insurance company or companies issuing the policies shall have no recourse against the County of Erie for payment of any premiums or for assessments under any form of policy.
- B. The insurance shall apply separately to each insured (except with respect to the limit of the liability).

4. Prior to cancellation, non-renewal or material change of the above policies, at least forty-five (45) days advance written notice shall be given to the County of Erie, Department of Law, 95 Franklin Street, Room 1634, Buffalo, N.Y. 14202, and the Agency requesting the certificate.

5. All certificates of insurance shall be approved by the Erie County Department of Law prior to the inception of any work.

6. The "ACCORD" form certificate may be used in place of the Erie County Standard Insurance Certificate, provided that all of the requirements set forth in the instructions for the Erie County Standard Insurance Certificate are incorporated into the "ACCORD" form certificate.

# County of Erie Standard Insurance Certificate



THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME	
	PHONE (A/C No., Ext)	FAX A/C No.
INSURED	EMAIL ADDRESS	
	PRODUCER CUSTOMER ID #:	
	INSURER(S) AFFORDING COVERAGE	
	INSURER A:	
	INSURER B:	
	INSURER C:	
INSURER D:		
INSURER E:		
INSURER F:		
NAIC #		

**COVERAGES** **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADD'L SUBR INSR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	<b>GENERAL LIABILITY</b>					EACH OCCURRENCE \$
	<input type="checkbox"/> COMMERCIAL GENERAL LIABILITY					DAMAGE TO RENTED PREMISES (Ea occurrence) \$
	<input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR					MED EXP (Any one person) \$
						PERSONAL & ADV INJURY \$
	GEN'L AGGREGATE LIMIT APPLIES PER:					GENERAL AGGREGATE \$
	<input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC					PRODUCTS COMP/OP AGG \$
	<b>AUTOMOBILE LIABILITY</b>					COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> ANY AUTO					BODILY INJURY (Per person) \$
	<input type="checkbox"/> ALL OWNED AUTOS					BODILY INJURY (Per accident) \$
	<input type="checkbox"/> SCHEDULED AUTOS					PROPERTY DAMAGE (Per accident) \$
	<input type="checkbox"/> HIRED AUTOS					\$
	<input type="checkbox"/> NON-OWNED AUTOS					\$
	<b>UMBRELLA LIAB</b>					EACH OCCURRENCE \$
	<input type="checkbox"/> EXCESS LIAB					AGGREGATE \$
	<input type="checkbox"/> OCCUR					\$
	<input type="checkbox"/> CLAIMS-MADE					\$
	DEDUCTIBLE RETENTION \$					\$
	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>					WC STATUS <input type="checkbox"/> OTH ER <input type="checkbox"/> \$
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICE/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y/N <input type="checkbox"/> N/A				E.L. EACH ACCIDENT \$
	If yes describe under DESCRIPTION OF OPERATIONS below					E.L. DISEASE - EA EMPLOYEE \$
						E.L.DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

## CERTIFICATE HOLDER

County of Erie  
95 Franklin St  
Buffalo NY, 14202

## CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

X. FOR COUNTY USE ONLY:

Name of County Dept. Requesting Certificate

Purchase Order or Contact Number

Vendor Insurance Classification

# INSTRUCTIONS FOR COUNTY OF ERIE STANDARD INSURANCE CERTIFICATE

I. Insurance shall be procured and certificates delivered before commencement of work or delivery of merchandise or equipment.

## II. CERTIFICATES OF INSURANCE

A. Shall be made to the "County of Erie, 95 Franklin St, Buffalo NY, 14202"

B. Coverage must comply with all specifications of the contract.

C. Must be executed by an insurance company, agency or broker, which is licensed by the Insurance Department of the State of New York. If executed by a broker, notarized copy of authorization to bind or certify coverage must be attached.

III. Forward the completed certificate to: County of Erie, (Department or Division) responsible for entering into the agreement for construction, purchase, lease or service.

IV. Minimum coverage with limits are as follows:

Vendor Classification	A Construction and Maintenance	B Purchase or Lease of Merchandise or Equipment	C Professional Services	D Property Leased To Others Or Use Of Facilities Or Grounds	E Concessionaires Services	F Livery Services	G All Purposes Public Entity Contracts
Commercial Gen. Liab.	\$1,000,000 per occ.	\$1,000,000 CSL	\$1,000,000 CSL	\$1,000,000	\$1,000,000 CSL	\$1,000,000	\$1,000,000 CSL
General Aggregate	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000
Products Completed Operations Liability	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000	\$2,000,000
Blanket Broad Form Contractual Liability	INCLUDE						
Contractual Liability		INCLUDE	INCLUDE	INCLUDE	INCLUDE	INCLUDE	INCLUDE
Broad Form P.D.	INCLUDE						
X.C.U. (explosion, collapse, Underground)	INCLUDE						
Liquor Law				INCLUDE	INCLUDE		
Auto Liab.	\$1,000,000 CSL		\$1,000,000 CSL	\$1,000,000 CSL	\$1,000,000 CSL	\$1,000,000 CSL	\$1,000,000 CSL
Owned	INCLUDE		INCLUDE	INCLUDE	INCLUDE	INCLUDE	INCLUDE
Hired	INCLUDE		INCLUDE	INCLUDE	INCLUDE	INCLUDE	INCLUDE
Non-Owned	INCLUDE		INCLUDE	INCLUDE	INCLUDE	INCLUDE	INCLUDE
Excess/Umbrella Liab.	\$5,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$5,000,000	\$1,000,000
Worker's Compensation & Employer's Liability	STATUTORY	STATUTORY	STATUTORY	STATUTORY	STATUTORY	STATUTORY	STATUTORY
Disability Benefits	STATUTORY	STATUTORY	STATUTORY	STATUTORY	STATUTORY	STATUTORY	STATUTORY
Professional Liability			\$5,000,000				
Erie County, To Be Named Add'l Insd.	Gen. Liab., Auto Liab., & Excess	Broad Form Vendors May Be Required	Gen. Liab., Auto Liab., & Excess	Gen. Liab., Auto Liab., & Excess	Gen. Liab., Auto Liab., & Excess	Gen. Liab., Auto Liab., & Excess	Gen. Liab., Auto Liab., & Excess

V. Construction contracts require excess Umbrella Liability limits of \$5,000,000.

VI. Coverage must be provided on a primary-non contributory bases.

VII. Designated Construction Project General Aggregate Limit Per Project Endorsement CG 25 03 is required.

In the event the concessionaire is required to have a N.Y.S. license to dispense alcoholic beverages an endorsement for liquor liability is VIII. required.

IX. Waiver of Subrogation: Required on all lines unless noted

X. Transportation of people in buses, vans or station wagons requires \$5,000,000 excess liability.

XI. Workers Compensation: State Workers' Compensation / Disability Benefits Law  
Use Applicable Certificates Below:

### Workers Compensation Forms

CE-200	Exemption
C105.2	Commercial Insurer
SI-12	Self Insurer
GSI-105.2	Group Self Insured
U-26.3	New York State Insurance Fund

### DBL (Disability Benefits Law) Forms

CE-200	Exemption
DB-120.1	Insurers
DB-155	Self Insured

XII. The "ACORD" form certificate may be used in place of the County of Erie Standard Insurance Certificate, provided that all of the above referenced requirements are incorporated into the "ACORD" form certificate.



NEW YORK STATE PREVAILING WAGE

\*On contracts which are subject to New York Department of Labor Prevailing Wage laws and regulations, the contractor is required to submit certified payroll record every thirty (30) days after the issuance of your first payroll under this contract. Required forms are available at the New York Department of Labor's website <https://www.labor.state.ny.us/formsdocs/wp/pw12.pdf>.

This project's PRC# 2025014690.

Records are to be submitted as directed in your award letter.

Failure to submit the legally required records will result in delayed payments

**Certification Regarding Debarment and Suspension**

- 1) As required by Federal Executive Order 12549, and prescribed by federal regulations, including 48 C.F.R. Subpart 9.4, the Contractor certifies that it, and its principals:
- (a) Are not presently disbarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded by any Federal department or agency;
  - (b) Have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction, including any violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a Government entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (b) above; and
  - (d) Have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- 2) Where the Contractor is unable to certify to any of the statements in this paragraph, the Contractor shall attach an explanation to this certification.

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Business Name**

**Certification Regarding Drug-Free Workplace Requirements Grantees Other Than Individuals**

This certification is required by regulations implementing Sections 5151-5160 of the Drug-Free Workplace Act of 1988, 41 U.S.C. § 701 et seq. See 48 C.F.R. Subpart 23.5.

The Contractor certifies that it will provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing a drug-free awareness program to inform employees about:
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and,
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:
  - (1) Abide by the terms of the statement; and,
  - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
- (e) Notifying the agency within ten days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction;
- (f) Taking one of the following actions, within 30 days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:
  - (1) Taking appropriate personnel action against such an employee, up to and including termination; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraph (a), (b), (c), (d), (e) and (f).

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Business Name**

**Certification Regarding Lobbying Certification for Contracts, Grants, Loans, and Cooperative Agreements**

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, A Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Business Name**

**NOTE:** If Disclosure Forms are required, please contact: Mr. Will Sexton, Deputy Director, Grants and Contracts Management Division, Room 341F, HHH Building, 200 Independence Avenue, SW, Washington, D.C. 20201-0001